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LETTER TO THE EDITOR

Auto-segmentation of the brachial plexus assessed with TaCTICS – A software platform for rapid multiple-metric quantitative evaluation of contours

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To the Editor,

Segmentation of organs-at-risk (OARs) remains a highly variable yet critical operator-dependent step in radiation planning [1]. With the increased conformality of intensity-modulated radiotherapy (IMRT) delivery, the ability to spare OARs is markedly increased, enabling more targeted treatment with sparing of specific tissues. However, manual segmentation of target volumes and OARs remains highly variable. For this reason, auto-segmentation approaches are attractive mechanisms to potentially reduce inter-observer region of interest (ROI) variation [2,3], allow assessment of OARs that might otherwise be subject to beam path toxicities [3,4] and improve workflow-time parameters [4–6].

Auto-segmentation techniques have been developed that implement *a priori* atlas libraries of normal tissue ROIs, with deformable image registration to transfer these ROIs from the reference library to a patient DICOM file [7]. While several commercial and in-house auto-segmentation approaches have been presented and show promise, rigorous quality assessment should be performed before clinical implementation [1,6] given the clinical implications of over- or under-contouring [8].

However, individual institutions may have significant difficulty systematically evaluating competing auto-segmentation platforms, as evaluation of registration and segmentation typically requires substantial effort for multi-ROI segmentation assessment [9,10]. Consequently, we surmised that there exists an unmet need for an open-source, web-based software solution for comparison of auto-segmented ROIs with reference manually segmented ROIs. We have previously reported the development of an open-source web-based software called TaCTICS (*Ta*rget *C*ontour *T*esting/*I*nstructional *C*omputer *S*oftware, https://github.com/kalpathy/tacticsRT) that provides quantitative and qualitative comparison of submitted and reference manually segmented ROIs in order to provide feedback to users about their performance on contouring target volumes and OARs [11,12]. For this reason we sought to investigate the feasibility and utility of TaCTICS in evaluating the quality of auto-segmentation algorithms by comparing their results to composite expert contours using two brachial plexus ROIs as index OARs. The specific aims of the current study were to assess the feasibility of utilizing TaCTICS to report multi-metric analysis of an auto-segmentation algorithm of the brachial plexus relative

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to a TaCTICS-generated probabilistic multi-expert manual segmentation, define a performance benchmark comparison of an auto-segmentation algorithm of the brachial plexus to that of a set of reference resident contours and finally, to establish a quality-assessment workflow for the future evaluation of commercial/in-house auto-segmentation algorithm performance.

Material and methods

Institutional Review Board approval was obtained, allowing collection of anonymized DICOM files. Clinical datasets were anonymized and stripped of all identifiers, and fictionalized case histories were constructed for all resultant efforts detailed herein.

Five radiation oncology trainees (each with less than 2 years of residency training) and four expert radiation oncology attending physicians were asked to contour right-sided brachial plexuses on a *head and neck* case (patient simulated arms down) and on a *chest* case (patient simulated arms up) with the ability to reference an existing contouring atlas [13]. DICOM files were then auto-segmented using a previously described in-house intensity-based accelerated 'DEMONS' deformable registration/auto-segmentation algorithm [14] to derive brachial plexus contours both of *head and neck* and *chest* case ROIs.

The RT Structure sets for both cases for all five trainees, four experts and the auto-segmentation mechanism were imported into TaCTICS. Using the TaCTICS software a composite Warfield's Simultaneous Truth And Performance Level Estimation (STAPLE) of the four expert contours was developed and was used as a 'gold-standard' for comparison [15,16]. A number of existing literature-derived [17,18] metrics comparing the residents/auto-segmented contours to the reference composite STAPLE were calculated using the TaCTICS software. A brief description and list of these metrics is found in Supplementary Table I (available online at http://informahealthcare.com/doi/abs/10.3109/0284186X.2014.953638).

After tabulation, each metric was calculated for all residents for each case and compared to the calculated metrics for the auto-segmented contours using the non-parametric one-tailed Wilcoxon Signed-Rank test, with $p = 0.05$ considered statistically significant. Non-parametric analysis was selected owing to the obviously limited sample size.

Results and Discussion

In both the *head and neck case* and the *chest* case the auto-segmentation algorithm was found to have both lower False Negative Dice (0.34 and 0.31, respectively) and higher target overlap (0.49 and 0.49, respectively), implying it missed fewer gold-standard voxels than the average trainee (0.47 and 0.61, respectively; 0.36 and 0.30, respectively). However, the auto-segmentation algorithm had a higher overall volumetric difference for both the *chest* case and the *head and neck* case (1.03 and 1.31, respectively vs. 0.72 and 0.38), implying that for both cases the auto-segmented contours volumes were significantly more disparate from the STAPLE than the trainee contours. Interestingly, neither the 95% Hausdorff distance nor the False Positive Dice were significantly different from the trainee contours. This implies that though there was a volumetric difference between resident and auto-segmented ROIs, the auto-segmentation algorithm did not seem to significantly over-contour (FPD), nor were contoured ROI surfaces on average farther away from the expert composite ROI surface than ROIs of trainees (HD, Table I). Importantly, both the Dice and Jaccard coefficients in both cases were not significantly different from the trainee contours (Table I). This combined analysis seems to imply that the auto-segmentation algorithm as implemented at our institution performs at least comparably if not superior to that of junior radiation oncology trainees. However, the discordance between resident trainees, the tested algorithm, and attending physicians was striking, with both autosegmentation and resident ROIs far inferior to pre-determined thresholds of acceptability.

Admittedly, there was also large variability between experts within our study and thus raising the important question of what can be used as a 'gold-standard truth.' In particular, the Dice coefficients for the 'experts' for both cases against the multi-expert STAPLE were between 0.23 and 0.27 for the chest case and between 0.25 and 0.52 for the head and neck case. This points to a larger issue: whether OAR delineation remains only an issue for novice trainees. Our data, and that of cooperative group analyses [19], suggest otherwise. It is critical that target and OAR delineation is not seen as solely an issue for the inexperienced clinician. Creating standardized agreements between 'experts' is essential for the next era of radiation treatment planning quality improvement efforts, particularly if auto-segmentation algorithms are to be assessed for efficacy [20]. Already auto-segmentation or semi-automated segmentation assessment solutions are likely to become a part of radiotherapy clinical trial efforts sooner rather than later [21]. A flexible, robust software solution, capable of both manual and auto-segmentation assessment might also have applicability for both 'fixed-location' [22] and 'remote' [11,12] web-based training solutions that are likely to become increasingly important as the availability of new technologies increases.

Table I. Results of TaCTICS analysis of auto-segmentation and resident contours.

	User	TO	|VD|	D	J	FND	FPD	HD (mm)
Head and neck case	Trainee 1	0.34	0.81	0.24	0.14	0.47	1.04	22.97
	Trainee 2	0.44	0.75	0.32	0.19	0.41	0.95	13.92
	Trainee 3	0.25	0.74	0.19	0.10	0.54	1.08	31.25
	Trainee 4	0.31	0.85	0.22	0.12	0.48	1.08	27.50
	Trainee 5	0.44	0.52	0.35	0.21	0.44	0.86	10.34
	Trainee Average	0.36	0.73	0.26	0.15	0.47	1.00	22.20
	Autosegmentation	0.49	1.03	0.32	0.19	0.34	1.02	15.44
	p-value by Wilcoxon Signed-Rank	*0.031	*0.031	0.094	0.094	*0.031	0.500	0.156
Chest case	Trainee 1	0.25	0.08	0.26	0.15	0.78	0.70	30.27
	Trainee 2	0.38	1.03	0.25	0.14	0.41	1.09	25.33
	Trainee 3	0.32	0.09	0.31	0.18	0.65	0.74	7.96
	Trainee 4	0.30	0.08	0.29	0.17	0.67	0.75	15.83
	Trainee 5	0.24	0.80	0.17	0.09	0.54	1.12	15.23
	Trainee Average	0.30	0.38	0.25	0.15	0.61	0.88	18.92
	Autosegmentation	0.49	1.31	0.29	0.17	0.31	1.10	22.49
	p-value by Wilcoxon Signed-Rank	*0.031	*0.031	0.094	0.094	*0.031	0.094	0.312

Note that the auto-segmentation was significantly different from the residents in total overlap (TO), volumetric difference (VD) and false negative Dice coefficients (FND).

Having a no-cost open-source solution, as presented herein, also opens the possibility of end-users adding desired metrics [9,17,18] on a clinical trial or training needs-based situation.

Integrating auto-segmentation algorithms of OARs into a stable clinical workflow is often hindered by the uncertainty of the efficacy of such algorithms relative to institutional expert manual segmentation [3,6]. We have presented and demonstrated the feasibility of utilizing TaCTICS, an open-source web-based system, for the utility of such analyses. By uploading DICOM RT structures into the TaCTICS system, one can readily obtain the aforementioned metrics within a matter of minutes. Performing a similar analysis as described illuminates whether such an algorithm meets the end user's standards for integration into an existing workflow. Unfortunately, standards that universally define adequacy of contours are of crucial importance. Of the seven metrics examined, we specifically highlight the utility of the False Negative Dice coefficient in this particular scenario, as it places a particularly high cost on missing gold-standard voxels, spotlighting inadequate auto-segmentation of organs-at-risk.

It is also important that auto-segmentation algorithms be tested in multiple clinical scenarios (e.g. distinct treatment positions as we have presented) to establish the efficacy of such algorithms across multiple workflows. Ideally, use of such a quality assessment process can be combined with rigorous assessment of other treatment planning quality assurance practices (e.g. rigorous deformable image registration benchmarking [23]) to provide a quantifiable assessment of the potential gains from implementation. In the absence of readily available and user-friendly platforms, only large academic centers are likely to have the necessary physics and computer science infrastructure to perform independent analysis of commercial or open-source auto-segmentation solutions.

In our estimation, the presented data suggest that the tested auto-segmentation algorithm performs at a level comparable to resident trainee brachial plexus segmentation. At our institution, this would be an acceptable standard in scenarios where brachial plexus doses are far below thresholds associated with toxicity (e.g. if the low neck is treated to <60 Gy). However, if brachial plexus doses approach meaningful dose constraints, we do not advocate use of unevaluated auto-segmented structures. As the Dice coefficients for both, tested residents and the auto-segmentation platform, fell below what we consider acceptable Dice and False Negative Dice thresholds, we continue to recommend attending approval of resident and DEMONS-derived ROIs. However, auto-segmentation could be routinely used to 'pre-contour' brachial plexus volumes for subsequent modification, especially in scenarios where a resident is not present; based on our data the utilized algorithm would be potentially useful in such a time saving application.

Our hope is that, as individual institutions/users see other unmet needs in the TaCTICS software, user-developed software updates or modifications may be readily incorporated (e.g. MAP STAPLE [24,25]). Future efforts will focus on expansion of evaluated auto-segmentation solutions as our process has demonstrated feasibility within an established workflow.

In conclusion, our data suggest that TaCTICS is a feasible platform for auto-segmentation assessment,

and further, that the tested DEMONS algorithm can segment brachial plexus ROIs to a degree better or comparable to resident trainees. However, based on low concordance compared to, and between, reference attendings we strongly recommend individual expert physician confirmation of segmentation for both resident trainees and autosegmentation algorithms when dose constraint to the brachial plexus is of clinical importance. Additionally, we recommend that, before implementation, site-specific OAR autosegmentation quality assurance be performed against institutional expert ROI benchmarks with a method such as TaCTICS.

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Supplementary material available online

Supplementary Table I (available online at http://informahealthcare.com/doi/abs/10.3109/ 0284186X.2014.953638)