Exclusions from Designated Record Set(s)

1. Patient Identifiable Source Data: Patient-identifiable source data are data from which interpretations, summaries, notes, etc., are derived and included in the health record
   - analog and digital patient photographs for identification purposes only
   - audio of dictation
   - audio of patient telephone call
   - videos of office visits
   - videos of procedure

2. Administrative Data: Administrative data are patient-identifiable data used for administrative, regulatory, healthcare operations, and payment (financial) purposes.
   - birth and death certificates
   - event history/audit trails
   - Utilization review/quality management records - patient-identifiable data reviewed for quality assurance or utilization management
   - protocols/clinical pathways, practice guidelines, and other knowledge sources that do not imbed patient data

3. Derived Data: Derived data consists of information aggregated or summarized from patient records so that there are no means to identify patients.
   - accreditation reports
   - best practice guidelines created from aggregate patient data
   - public health records
   - statistical reports

4. Admission/birth/death/surgical logs
5. Appointment and surgery schedules
6. Core measures
7. Event/incident reports
8. Legal/Risk management documents
9. Medical staff credentialing/privileging records
10. Patient grievance/complaint file records
11. Peer review records
12. Proprietary/strategic planning documents
13. Research records - (Note: treatment provided as part of research must be included in the patient’s DRS. This includes instances when a clinician sees an abnormal result and would take clinical action based on this information.)
14. Research outcome studies/analyses
15. Staffing/Work schedules
16. Workforce (employee, volunteer, student, contractor) personnel records
17. Workforce occupational health record
18. Other records not used to make decisions about individuals