



Phase 0/00 – SBIR/STTR Application Support

PURPOSE

Phase 0/00 is designed to help small life science and healthcare-related businesses in Oregon access funding through the federal Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs.

The Phase 0/00 program provides up to \$5,000, to be used for SBIR/STTR application support services, including written critiques of Phase I, Phase II (Phase 00) or Fast-track proposals, grant writing assistance, and additional services that help increase the competitiveness of the application. **Prior approval of reviewers and support services is required from Business Oregon and OCTRI.**

GRANT REVIEW BENEFITS

- Strategic advice from experienced translational scientists
- Writing guidance and feedback on grant structure based on NIH criteria
- Connections with potential scientific consultants or advisors
- Recommendations for additional preliminary studies or data analysis
- Identification of application weaknesses prior to federal submission

GRANT PREPARATION SERVICES

- Biostatistics and statistical design support
- Business plan assistance (e.g., marketing consultants)
- Consultant or mentor services for preparation of the SBIR/STTR proposal, including grant writing or graphic designer services
- Preliminary data preparation or analysis
- Patent and intellectual property analysis
- OHSU Research Cores and Shared Resources

<http://www.ohsu.edu/xd/research/research-cores/>

CONTACT OCTRI & BUSINESS OREGON

To learn more or schedule a consult, please contact:

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KEY DATES

Phase 0/00 application due date:
Minimum of 4 weeks prior to
SBIR/STTR due date

Phase 0/00 award decision:
7 business days after receipt of
application

ELIGIBILITY REQUIREMENTS

Important note: Please refer to the Frequently Asked Questions for a list of exceptions to the eligibility requirements listed below.

- Applicants must be traded sector Oregon-based small businesses. "Oregon-based" is defined as "more than 50% of the company's employees are based in Oregon." Oregon Statute 285A.010 defines traded sector businesses as "industries in which member firms sell their goods or services into markets for which national or international competition exists."
- Applicant businesses must be healthcare-related
- Applicant must meet all federal SBIR or STTR eligibility requirements. Additional information can be found [here](#)
- Applicants must conduct at least 51% of the research they describe in their federal proposal within Oregon

APPLICATION MATERIALS

The Phase 0/00 application can be accessed via [REDCap, here](#). Please be prepared to include the following documents (instructions for upload are given in the application).

1. Description of scientific problem and/or business opportunity that will be the subject of the federal Phase I, Phase II, or Fast-track application
2. Description of what will be done with the Phase 0 or Phase 00 grant money
3. Biosketches/resumes of key personnel or any service providers to be used on the Phase 0 or Phase 00 grant
4. Phase 0 or Phase 00 line-item budget with budget justification (may be a scope of work and quote from service provider)
5. Completed IRS form [W9](#)

METHOD OF SELECTION AND EVALUATION CRITERIA

Phase 0/00 applications from eligible entities will be evaluated by OCTRI and Business Oregon, based on the following criteria:

- Clear and compelling abstract
- Technical merit and topic responsiveness
- Commercial potential
- Concise, coherent proposal and application
- Team and available resources (qualifications, balance, etc.)

POST-AWARD FUNDING APPROVAL AND INVOICING

- Prior written approval of reviewers and support services is required from Business Oregon and OCTRI
- Awarded small businesses are responsible for paying service providers directly and submitting invoices to Business Oregon for reimbursement