

### **Industry Sponsored/Initiated Drug/Device Protocol Feasibility Checklist**

*This tool can be used to assist investigators evaluate the feasibility of conducting an industry sponsored study at OHSU. For the best results, you should have the final study protocol, the sponsor's consent template, Case Report Forms (CRFs), questionnaires, lab manuals, drug brochure/device manual available for evaluation. Re-evaluate the study as new information becomes available. You may need to contact your department administrator, members of the study team, and/or other departments to determine if the appropriate resources are available to conduct the study.*

*Conducting a thorough feasibility analysis is important for financial reasons and to protect your reputation as a valuable research site. It is generally better to turn down a study that isn't a good fit, than to participate in a trial as an underperforming site.*

#### **Study Population:**

	<b><u>Yes</u></b>	<b><u>No</u></b>	<b><u>Unk</u></b>
<b><u>Does OHSU have the patient population described in the inclusion/exclusion criteria?</u></b> A Cohort Discovery search can give you a count of potential subjects at OHSU. Complex inclusion/exclusion criteria may require a Research Data Warehouse (RDW) query. Contact <a href="mailto:OCTRI@ohsu.edu">OCTRI@ohsu.edu</a> if you have questions about the tools, need access, or a cost estimate.			
<b><u>Do you see these patients in your clinic at OHSU?</u></b> If not, can you get a collaborator or use another means of recruitment? Epic options may include MyChart recruitment, Epic alerts, Epic workbench reporting. You can contact the <a href="mailto:EpicResearchTeam@OHSU.edu">EpicResearchTeam@OHSU.edu</a> to discuss electronic recruitment options and associated costs. You can also contact <a href="mailto:octrirecruitment@ohsu.edu">octrirecruitment@ohsu.edu</a> for a comprehensive recruitment consultation.			
<b><u>Will you need to recruit participants from external sources (Community, VAPORHCS, OHSU Partners)? If so, will the sponsor provide funding for recruitment costs?</u></b> Consider time for phone screening, and advertising costs in your budget. Recruitment from other sites may require additional approvals and considerations. Contact Ray Robles <a href="mailto:roblesr@ohsu.edu">roblesr@ohsu.edu</a> , if you would like to recruit or see participants at OHSU partner sites.			
<b><u>Is the proposed enrollment period realistic?</u></b> If enrollment is expected to close in the next 6-12 months consider the likelihood of meeting enrollment expectations. Note: start-up can take several months from the time of IRB submission to enrollment.			
<b><u>Are inclusion/exclusion criteria overly restrictive?</u></b> Consider the likely screen failure rate and the number of screen failures for which the sponsor is willing to pay. Consider co-morbidities that may impact the ability to recruit this population.			
<b><u>Are there logistical issues that will impact recruitment/implementation?</u></b> Consider time and arrangements for special populations. <input type="checkbox"/> Will participants need to travel? <input type="checkbox"/> Can these considerations be overcome with time/budget/personnel?			

	<u>Yes</u>	<u>No</u>	<u>Unk</u>
<b><u>Will this study compete with other studies seeking the same patients?</u></b>			
<input type="checkbox"/> Is the competing study expected to end soon? <input type="checkbox"/> Is it enrolling well? <input type="checkbox"/> Are there enough eligible patients to meet enrollment in both trials?			

**Protocol:**

	<u>Yes</u>	<u>No</u>	<u>Unk</u>
<b><u>Is the study question important to the OHSU PI?</u></b>			
Does the PI/study team feel that participation is in line with the PI's/ study teams research goals/study portfolio? Consider whether Phase IV or other post marketing trials are asking an important research questions if the drug/device is otherwise available.			
<b><u>Is the protocol well designed?</u></b>			
<b><u>Are there ethical issues that need to be considered in the protocol?</u></b>			
Will there be issues that could delay IRB approval (e.g. withholding treatment, sham interventions)			
<b><u>Is this the final version of the protocol?</u></b>			
If not consider the following: <input type="checkbox"/> How many amendments can be expected? Consider waiting to submit the study to the IRB until the protocol is final. <input type="checkbox"/> Is the sponsor willing to consider suggestions or modifications if you do not think the protocol is feasible as written?			
<b><u>Can other departments/services meet the protocol requirements?</u></b>			
Consider the timing of visits/procedures, available equipment, and local lab tests.			
<b><u>If the protocol indicates some of the procedures are standard of care does it match the current OHSU standard of care?</u></b>			
If not, can the protocol be integrated/implemented with our standard of care?			
<b><u>Are study visits complex, presenting possible scheduling difficulties?</u></b>			
Consider whether there are special procedures that require evaluations or testing by specific individuals or testing outside of regular clinic hours. <input type="checkbox"/> Are the required visits and assessments feasible with the resources currently available? <input type="checkbox"/> If multiple clinics/service units are needed for visits is the scheduling compatible with the study requirements?			
<b><u>Are the procedures/study design likely to cause compliance problems or patient drop-outs?</u></b>			
Consider the burden of compliance with study procedures in projecting recruitment and retention: <input type="checkbox"/> Are procedures painful and generally not needed for standard of care? <input type="checkbox"/> Will frequent or long visits require participants to miss work/school? <input type="checkbox"/> Are procedures difficult for this population to complete? (medication compliance/ hard to swallow pills)			

	<u>Yes</u>	<u>No</u>	<u>Unk</u>
<b><u>Are data collection forms complex, lengthy, and time consuming?</u></b> Include staff time in the budget. If the protocol/contract require specific turnaround times, determine if these are reasonable.			
<b><u>Is this a late phase therapeutic trial?</u></b> Drop-outs may be more likely if the study drug becomes commercially available while the study is underway.			
<b><u>Is this study similar to previous studies conducted at OHSU?</u></b> <input type="checkbox"/> Did those studies meet enrollment? <input type="checkbox"/> Were they completed with the proposed budget?			

**Staff:**

	<u>Yes</u>	<u>No</u>	<u>Unk</u>
<b><u>Does the PI have adequate time to devote to the study?</u></b> Will the PI be at OHSU for the duration of the study? If not, consider a different PI who is interested in the study.			
<b><u>Do you have adequate research staff for the study required activities including but not limited to:</u></b> <input type="checkbox"/> Regulatory tasks (IRB submissions, regulatory binder maintenance) <input type="checkbox"/> Study coordination (scheduling visits, obtaining diaries, recruiting patients, completing CRFs, responding to queries, etc.) <input type="checkbox"/> Consenting <input type="checkbox"/> Clinical tasks <input type="checkbox"/> PI/MD Co-I time to review study CRFs, AEs, imaging, labs, etc.			
<b><u>Are additional specialists needed to conduct the study?</u></b> Are they available and interested in participating?			
<b><u>Are the staff identified above qualified (and credentialed if applicable), trained, and available (enough FTE) to complete the protocol required activities?</u></b> Clinical activities conducted for research purposes require the same OHSU credentialing as a clinical care. If you have any questions about credentialing requirements see <a href="https://o2.ohsu.edu/medical-affairs-program-provider-enrollment/practitioner-credentialing">https://o2.ohsu.edu/medical-affairs-program-provider-enrollment/practitioner-credentialing</a>			
<b><u>If training is needed is it available?</u></b> If the protocol requires training for specific equipment/procedures: <input type="checkbox"/> Will the sponsor provide training? <input type="checkbox"/> Compensation for training time (include costs in your budget)?			

**Space:**

	<u>Yes</u>	<u>No</u>	<u>Unk</u>
<b><u>Do you have adequate space for the study visits and protocol required equipment?</u></b>			
<b><u>Is the study visit space adequately supplied/equipped?</u></b>			
<b><u>Is the space available when needed?</u></b> Review protocol required scheduling timelines.			
<b><u>Where will study staff reside?</u></b> <u>Is the space adequately resourced (computers, phones, document storage)?</u>			

**Sponsor/Clinical Research Organization (CRO):**

	<u>Yes</u>	<u>No</u>	<u>Unk</u>
<b><u>Has your previous experience with this sponsor/CRO been satisfactory?</u></b> If you don't have experience with this sponsor/CRO, check with your colleagues, department or CTO contracting to see if there are any issues.			
<b><u>Will the sponsor agree with the OHSU subject injury and liability positions?</u></b> Position statements you can share with Sponsors are available on the <a href="#">Subject Injury</a> Reporting page. If there are questions about the OHSU positions, contact your Industry Research Agreements Team (opamira@ohsu.edu).			

**Budget/Payment Terms:**

*The PI/Department are responsible for developing, negotiating, and finalizing the budget and payment terms. Look at the overall budget while considering enrollment, clinical costs, and expenses over the life of the trial to see if costs are covered in the sponsor's offer. At a minimum, the budget should cover the cost of all tests and procedures, salary support for all study team members, professional fees (if not covered by salary), and invoiceable costs such as (but not limited to) IRB, pharmacy, shipping, subject reimbursement, advertising, long term storage and consent translation fees. You should contact the departments involved to make sure that use are using the correct procedure codes and account for other costs that may not be specified in the protocol. There is additional guidance available in the Industry Funded Clinical Trial Budget and Payment Term Guidelines (<https://o2.ohsu.edu/system/files/2023-08/CTO-Industry-Funded-Clinical-Trial-Budget-and-Payment-Term-Guidelines-and-Information-15OCT2019%20%281%29.pdf>).*

	<u>Yes</u>	<u>No</u>	<u>Unk</u>
<b><u>Does the proposed budget cover clinical costs?</u></b> Research rates can be found in eCRIS or the <a href="#">OHSU Research Rates database</a> . Questions about the CPT codes and other associated procedure costs should be directed to the appropriate department contact.  For OCTRI Cost estimates contact <a href="mailto:OCTRI@ohsu.edu">OCTRI@ohsu.edu</a> or complete the <a href="#">OCTRI Resource Request form</a> .  For Advanced Imaging Research Center (AIRC) estimates visit the <a href="#">AIRC Getting Started</a> page.			
<b><u>Does the budget cover staff time?</u></b>			
<b><u>Is the participant compensation appropriate for the participant time commitment and potential discomfort?</u></b>			
<b><u>Is the sponsor willing to pay for advertising/recruitment costs?</u></b>			
<b><u>Do you need to consider providing or paying for travel, childcare, etc?</u></b> If yes, add this as an invoiceable cost in the budget.			

	<u>Yes</u>	<u>No</u>	<u>Unk</u>
<b><u>Are proposed payment intervals/conditions reasonable?</u></b> <input type="checkbox"/> Payments should be made at least quarterly unless there are special circumstances <input type="checkbox"/> Holdbacks on study visits should not be more than 20% (try to negotiate lower) <input type="checkbox"/> Try to negotiate late payment fees if the sponsor doesn't pay on time			
<b><u>Is the study using imaging?</u></b> <input type="checkbox"/> Does the budget cover additional CPT codes for extra views/slices? <input type="checkbox"/> Data/image transfer? <input type="checkbox"/> Are they read locally? Send the protocol and any imaging instructions to the radiology to determine if additional fees apply.			
<b><u>Does the Budget/Contract payment align with the coverage analysis?</u></b> The sponsor must agree to cover the cost of all research related procedures. More information and instructions for requesting a coverage analysis can be found on the <a href="#">Clinical Trial Policy and Coverage Analysis</a> page.			
<b><u>Does the sponsor agree to our current F&amp;A rate and any departmental assessments?</u></b>			
<b><u>Will the sponsor agree to pay for applicable items on the OHSU Industry Research Partners/Sponsors <a href="#">Fixed Fee memo</a>?</u></b> Not all fees listed apply to all studies, so only include applicable fees in your study budget.			
<b><u>If applicable, does the sponsor agree to pay for pharmacy start-up and storage fees?</u></b> ( <a href="#">Research Pharmacy Services – Industry Sponsored Fee Structure Policy</a> ) Consider whether there are after hours or on-call Pharmacist needs. Send the pharmacy the protocol and drug manual to get an accurate estimate.			
<b><u>Does the sponsor require OHSU purchase the study device(s)?</u></b> If so, complete the Clinical Research Device/Equipment Form linked on the <a href="#">Device Studies</a> webpage to start the purchasing review process. This can be submitted prior to completing the full IRB application.			