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## Neural correlates of reward magnitude and delay during a probabilistic delay discounting task in alcohol use disorder

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### Abstract

**Rationale**—Alcohol-use disorder (AUD) is associated with the propensity to choose smaller sooner options on the delay discounting task. It is unclear, however, how inherent risk underlies delay discounting behavior. As impulsive choice is a hallmark feature in AUD, it is important to understand the neural response to reward and delay, while accounting for risk in impulsive decision-making.

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#### Author Contributions

MK conceptualized this approach, made significant contributions to the analysis and writing of this manuscript, and mentored LD in the interpretation of results and writing of this manuscript. LD, HM, BT, DS, and DL collected these data. DS, DL, WH, and SM each contributed significantly to designing the PDD task. BN was a Co-I on the grant and advised on imaging and design. LD performed initial data analyses with the neuroimages. WH was the PI on the project, supervised the collection and analysis of data, and contributed to the writing of the manuscript.

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**Objective**—This study examined activation associated with delay and reward magnitude, while controlling for risk in a probabilistic delay discounting task in AUD and examined if differences in activation were associated with treatment outcomes.

**Methods**—Thirty-nine recently abstinent alcohol dependent volunteers and 46 controls completed a probabilistic delay discounting task paired with functional magnetic resonance imaging. Alcohol use was collected using a self-report journal for three months following baseline scan.

**Results**—During delay stimulus presentations, Controls exhibited greater activation compared to the Alcohol group notably in the anterior insula, middle/dorsal anterior cingulate cortex (ACC), dorsolateral prefrontal cortex (PFC), and inferior parietal lobule. For magnitude, the Alcohol group exhibited greater activation than Controls primarily in medial PFC, rostral ACC, left posterior parietal cortex and right precuneus. Within the Alcohol group, alcohol craving severity negatively correlated with right lateral PFC activation during reward magnitude in individuals who completed the three month study without relapse, while non-completers showed the opposite relationship.

**Conclusions**—The results of this study extend previous findings that alcohol use disorder is associated with differences in activation during an immediate or delayed choice by delineating activation associated with the parameters of impulsive choice.

### Keywords

Alcohol; Impulsivity; Delay discounting; Probability discounting; Craving; Relapse

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## INTRODUCTION

Despite the availability of pharmacological and psychosocial treatments for alcohol use disorder (AUD), it is still considered a chronically relapsing disorder. Impulsive choice is a significant risk factor for treatment failure (de Wit, 2009; Stevens et al., 2015) and is a hallmark feature in AUD (Bjork et al., 2004; Petry, 2001). Individuals with AUD (Bjork et al., 2004; Bobova et al., 2009; Mitchell et al., 2005; Petry, 2001) and other substance-use disorders (MacKillop et al., 2011; Mitchell and Wilson, 2012) consistently exhibit greater propensity for impulsive choices indexed by steeper discounting patterns (i.e. preference for smaller, immediate over larger, delayed rewards) compared to controls. From a clinical perspective, steeper devaluation of delayed rewards in AUD parallels the continued use of alcohol for its immediate rewarding properties, despite negative future consequences (e.g., poor health outcomes and financial hardships). These behavioral differences are accompanied by altered brain function during the performance of delay discounting tasks, where alcohol dependent individuals show greater activation in limbic regions during impulsive choices (i.e., choosing smaller, sooner rewards) and greater cortical activation during delayed choices (Amlung et al., 2014; Boettiger et al., 2007) when compared to controls. These studies begin to detail differences in neural systems underlying delay discounting in AUD; however, most studies examine brain activation associated with an immediate or delayed choice and less is known about the mechanisms underlying the evaluation of reward magnitude and delay associated with impulsive decision-making. As

decision outcome is influenced by the dynamic interaction of reward magnitude and delay (Ballard and Knutson, 2009), it is critically important to identify activation that is differentially associated with each decision characteristic to better understand impulsive choice in AUD.

In addition, although evidence suggests that the delivery of any future reward is intrinsically paired with risk to some degree (Patak and Reynolds, 2007), delay discounting tasks do not account for this inherent subjective risk. To model risk related to obtaining a reward, probability discounting tasks were developed, which present choices between smaller, certain rewards and larger, less certain rewards. Probability discounting tasks, however, do not test delay. To test the effect of risk on delay discounting behavior, probability discounting rates have been incorporated into delay discounting models (Lopez-Guzman et al., 2018). Compared to paradigms assuming risk neutrality, delay discounting models that account for subjective risk preferences have shown to improve model fit (Lopez-Guzman et al., 2018). Furthermore, as risk and delay discounting seem to be somewhat dependent, not including risk preferences may bias discounting rates, particularly in more risk-seeking individuals (Lopez-Guzman et al., 2018). This may result in the delay discounting differences consistently observed between substance users and controls to be inflated. Therefore, controlling for uncertainty is critical for better characterizing brain function associated with delay processing. Although independent risk preferences have shown to have significant impact on delay discounting rates, no studies have investigated delay and probability discounting simultaneously in AUD.

To account for inherent subjective risk during delay discounting, this study used a probabilistic delay discounting (PDD) task, which assigns an explicit probability of receiving a delayed reward. Reward magnitude and delay has shown to activate different brain regions during a delay discounting task in healthy controls; such that, increases in activation of nucleus accumbens, medial prefrontal cortex (mPFC), and posterior cingulate cortex correlate with increasing future reward magnitudes while decreases in activation of lateral cortical regions (e.g., dorsolateral prefrontal cortex [DLPFC], posterior parietal cortex) correlated with increasing delays (Ballard and Knutson, 2009). Expanding on the Ballard and Knutson (2009) results, we examined baseline differences in the neural response to delay and reward magnitude while controlling for risk between Alcohol and Control groups and between individuals with AUD who either remained abstinent or had only lapses through the duration of the three month follow-up study (“Completers”) and those who either relapsed or dropped out during the study (“Non-Completers”).

There are several prominent and conflicting theories of addiction. Although the reward deficiency syndrome model postulates that chronic alcohol and substance use diminishes the response for natural, or non-drug (i.e., monetary), rewards (Blum et al., 2000), we still hypothesized that the Alcohol compared to the Control group would exhibit greater activation in reward salience regions during reward magnitude stimulus presentations and less activation in cognitive control regions during delay stimulus presentations. Our expectations are based on the impulsivity theory of addiction, which argues that individuals with an addiction show a strong response to rewards (Bjork et al., 2012). In addition, the response to rewards in addiction is context dependent, where striatal reactivity to reward is

heightened for reward outcome and attenuated for reward anticipation (Luijten et al., 2017). A meta-analysis of reward reactivity, however, shows that individuals with addictive disorders exhibit greater prefrontal cortical regions of activation compared to controls during both reward outcome and reward anticipation, while the control group exhibits greater activation in parietal, temporal and cingulate cortices (Luijten et al., 2017).

In early abstinence, the presence of negative emotional states, including depression and impulsivity, contribute to relapse due to a desire to alleviate these negative emotional states (i.e., negative reinforcement) (Koob and Le Moal, 2001); therefore, it was expected that Non-Completers would exhibit greater impulsivity compared to Completers. In addition, because higher treatment drop-out rate is highly correlated with craving severity during alcohol withdrawal (O'Connor et al., 1991), this study also aimed to identify how craving interacts with brain function during probabilistic delay discounting to influence treatment outcome during the early stages of recovery. Previous neuroimaging studies have largely examined craving in the context of cue-induced craving (Braus et al., 2001; Grusser et al., 2004) and found that both cognitive and affective processes are activated during these tasks (Kober et al., 2010), however, it is unclear how craving may interact with neural responses to reward and delay during decision-making to promote abstinence. As cognitive control is required to overcome craving and maintain sobriety, we hypothesized that greater activation in brain regions responsible for cognitive control (i.e., DLPFC) during reward magnitude and delay stimulus presentations would correlate with decreased alcohol craving intensity in study Completers compared to Non-Completers.

## MATERIALS AND METHODS

### Participants and Procedure

Thirty-nine volunteers meeting DSM-IV criteria for alcohol dependence were recruited from the Veterans Affairs Portland Health Care System (VAPORHCS) and community substance abuse treatment programs and 46 control subjects were recruited from the VAPORHCS and online advertisements. All participants in the Alcohol group were enrolled in a substance abuse treatment program at the time of consent. All participants gave written informed consent, as approved by the VAPORHCS and Oregon Health & Science University (OHSU) Institutional Review Boards. Participants were excluded based on medical history and laboratory blood tests indicating any current or past medical illness that might affect cognition (e.g., stroke, head injury, HIV, hepatitis B or C, anemia), use of antipsychotics, benzodiazepines, antiparkinsonian medications, or anticholinergics, head trauma with loss of consciousness, and magnetic resonance imaging (MRI) contraindications. In addition, past or current Axis I diagnoses, other than depression, post-traumatic stress disorder, nicotine dependence and alcohol dependence (for the Alcohol group only) were exclusionary. Participants were between 21 and 55 years old and alcohol and drug free (except for nicotine or caffeine), verified with a negative urine drug screen. The Alcohol group self-reported abstinence for one to four weeks at the time of consent (visit 1).

Participants received a \$50 gift card to a local retail chain after completing visit 1 (consent and screening) and another for completing visit 2 (scan). Following the scan, only subjects in the Alcohol group returned for three monthly follow-up visits and were compensated with

\$20 gift cards for each visit. Subjects in the Alcohol group were asked to report any alcohol or drug use between visits, including frequency and amount, in a weekly drinking diary that was reviewed at each monthly follow-up visit, along with medical records and information from the subject's treatment provider. Within the Alcohol group, Completers (n=16) included participants who completed the three-month follow-up study without relapse (n=13) or with only lapses (n=3) and Non-Completers (n=23) were those who either dropped out of the study (n=16) or relapsed (n=7). An episode of relapse was defined as one or more days of heavy drinking [more than five drinks per day] or three or more consecutive days of any drinking following at least four days of sobriety (Maisto et al., 2003). Alcohol use that did not meet these criteria was deemed a lapse.

### Probabilistic Delay Discounting Task (PDD)

The PDD is an economic choice task, where subjects choose between a guaranteed immediate reward and an alternative reward that varies in magnitude, risk, and delay to receipt. The subjective value of the alternative (delayed and probabilistic) reward is given by,

$$\frac{V_p}{M} = \frac{1}{(1 + k \cdot d)(1 + h \cdot \theta)} \quad (1)$$

where  $V_p$  is the subjective value of a discounted reward of magnitude,  $M$ ,  $d$  is the delay in days until the reward is received,  $\theta$  is the odds against ratio,  $\theta = (1 - p)/p$ , where  $p$  is the probability of receiving the reward, and  $k$  and  $h$  are fitting parameters that characterize the degree of delay and probability discounting, respectively (Ho et al., 1999; Vanderveldt et al., 2015). Larger values of  $k$  indicate greater delay discounting and larger values of  $h$  indicate greater aversion to risky choices. Specifically, subjects were asked to choose between \$20 available immediately with 100% certainty (not shown on the screen) or an option with varying levels of reward magnitude (\$20, \$60, \$100, or \$140), delay of receiving the reward (0, 4, 8, or 12 months) and probability of receiving the reward (25%, 33%, 50% or 100%) (Fig. 1). For each choice trial, the presentation of magnitude, probability and delay stimuli were presented every 2.5 seconds in random order. Once a stimulus appeared on the screen, it remained on the screen until all three stimuli were visible. The three stimuli remained on the screen for 2.5 seconds before being replaced with a "+" symbol. Subjects had four seconds to push either the left button (\$20 now) or the right button (alternative reward) immediately following the presentation of the third stimulus followed by a 1–4 second jitter during which the "+" symbol remained on the screen until the next choice pair was presented (Fig. 1). In addition to the inter-trial jitter, magnitude, probability, and delay stimuli were presented in random order to avoid possible confounds of presentation order. For example, within a choice trial, magnitude may be presented first, second, or third in relation to probability and delay. Each subject performed the same practice PDD task in the laboratory before performing it in the scanner.

The fully crossed factorial design included  $4 \times 4 \times 4 = 64$  distinct permutations for each run of the PDD. Subjects performed two 20-minute runs during their MRI session. Across the two runs, each permutation was presented twice, for a total of 128 trials. All subjects were offered the same set of questions, presented in random order. After each choice, the subject received visual notification of the outcome on the screen, such that an immediate choice

produced “You will receive \$20 now” and missed trials produced “You missed the last question”. Choice of the delayed reward produced one of two outcomes depending on the probability of receiving the reward. For example, if a subject chose a 25% chance of receiving \$140 in 12 months they would either see “You will receive \$140 in 12 months” or “You receive nothing”. Subjects were paid the amount of one choice selected at random (between \$0–140) at the time specified during that trial (day of scan up to one year following the scan). Prior to task performance, subjects were informed that in the case of a deferred payment, an appointment would be made to come back to the lab and it was their responsibility to follow-through with the appointment. Values of  $k$  and  $h$  were determined by a softmax procedure, which uses an optimized maximum-likelihood estimation procedure (fminsearch, MATLAB and Statistics Toolbox Release 2013b, The MathWorks, Inc., Natick, Massachusetts) that identifies the surface that best separates choices of the immediate reward (\$20) from the alternative reward (Fig. 1) (Miedl et al., 2012). Normalized values of  $k$  and  $h$ , calculated by taking the natural log, were used to index delay and probability discounting, respectively.

### Craving Severity Measurement

Craving intensity was assessed on a scale of 0–100 with a visual analog scale (VAS) in the Alcohol group. Participants were asked “How intense are your alcohol cravings today?” and instructed to indicate the intensity of their cravings by placing a vertical mark and a corresponding number on a line between 0 (“no cravings”) and 100 (“the most intense cravings imaginable”).

### Magnetic Resonance Imaging (MRI) Acquisition and Analysis

Imaging data was acquired on a 3 Tesla (T) Siemens TIM Trio MRI scanner. A localizer scan was acquired in order to guide slice alignment during anatomical and functional scans. Two T2\*- weighted echo-planar imaging (EPI) functional runs were acquired (24 slices, 4 mm thick, gap width = 1 mm, TR/TE/ $\alpha$  = 2000 ms/38 ms/80°, matrix = 128 × 128, FOV = 240 mm<sup>2</sup>, 615 volumes per run, in-plane pixel size of 1.875 mm<sup>2</sup>) while subjects performed the PDD. One high-resolution T1-weighted anatomical magnetically prepared rapid acquisition gradient echo (MPRAGE; 144 slices, 1 mm thick, TR/TE/TI/ $\alpha$  = 2300 ms/3.4 ms/1200 ms/12°, FOV = 224 mm × 256 mm) was acquired for co-registration with functional images and statistical overlay.

Functional MRI (fMRI) data processing was carried out using FEAT (FMRI Expert Analysis Tool) version 6.00, part of FSL (FMRIB’s Software Library, [www.fmrib.ox.ac.uk/fsl](http://www.fmrib.ox.ac.uk/fsl)). The following pre-processing steps were applied to each EPI: motion correction using MCFLIRT; slice-timing correction using Fourier-space time-series phase-shifting; skull-stripped using BET; spatial smoothing using a Gaussian kernel of FWHM 5-mm; grand-mean intensity normalization of the entire 4D dataset by a single multiplicative factor; and high-pass temporal filtering (Gaussian-weighted least-squares straight line fitting, with sigma = 50.0 seconds). EPI images were registered to the MPRAGE using FLIRT (FMRIB’s Linear Image Registration Tool) then to the standard Montreal Neurological Institute (MNI) space using 12-parameter affine transformation.



The analysis examined activation during the presentation of magnitude and delay stimuli, while controlling for risk, irrelevant of choice. The general linear model (GLM) included seven regressors; 3 regressors for magnitude, delay, and probability events during choice trials; 3 regressors for magnitude, delay, and probability events during control trials; and one regressor to account for data for missed trials. Control trials were defined as trials where a magnitude of \$20 was presented as the first stimulus since regardless of the subsequent risk and delay, the immediate option will always be more certain and/or sooner, as it offers \$20 now with no risk. Onset times of magnitude, delay, and probability cues within choice and control trials were modelled separately and were used as contrasts. Contrasts of interest included magnitude and delay conditions contrasted with their cue-specific control condition. Regressors were created by convolving a set of delta functions, representing onset times of each event, with a canonical (double-gamma) hemodynamic response function. The first temporal derivatives were included in the model to capture variance associated with the temporal lag of the hemodynamic response along with six motion parameters estimated during motion correction.

Group-level analyses were carried out using FLAME (FMRIB's Local Analysis of Mixed Effects) with automatic outlier detection. Z statistic images were thresholded non-parametrically using clusters determined by  $Z > 3.1$  and a (corrected) cluster significance threshold of  $P = 0.05$ . Preprocessed EPIs were combined across runs using FEAT. Completers and Non-Completers were combined to form the Alcohol group and were compared to Controls in whole-brain voxel-wise analyses. Similarly, within the Alcohol group, separate analyses were conducted to compare Completers to Non-Completers. As there were significant differences in age, years of education, and cigarettes per day, between the Alcohol and Control groups, these variables were modeled as nuisance covariates in the between-group analyses.

### **Statistical Analysis of Behavioral and Demographic Data**

Independent samples t-tests with equal variance assumed and chi-square tests were used to analyze behavioral data with SPSS version 24 (SPSS Inc., Chicago, Illinois).

### **Analysis of the Relationship between Activation and Craving**

Craving was added to the GLM as an independent variable in a separate whole-brain voxel-wise analysis conducted within the Alcohol group to examine the interaction between craving and treatment outcome on activation during reward magnitude and delay presentations. Whole-brain fMRI statistics were corrected for multiple comparisons by using cluster-correction with voxel height threshold of  $Z > 3.1$  and cluster significance of  $P < 0.05$ .

## **RESULTS**

### **Demographics and Behavioral Measures (Table 1)**

The Control group included 46 subjects, none of who reported any heavy or daily use of alcohol or any other drug use. The Alcohol group included 39 alcohol-dependent subjects who were abstinent from alcohol at the time of the scan (range: 6–55 days abstinent before scan). There were no significant differences between Completers and Non-Completers in

age ( $t_{(37)} = -0.413$ ,  $p = 0.682$ ), sex ( $\chi^2 = 2.457$ ), years of education ( $t_{(37)} = -0.407$ ,  $p = 0.687$ ), years of alcohol-use ( $t_{(37)} = -0.308$ ,  $p = 0.760$ ), standard drinks per day ( $t_{(37)} = 0.509$ ,  $p = 0.613$ ), days abstinent on scan day ( $t_{(36)} = 0.875$ ,  $p = 0.387$ ), delay discounting ( $t_{(37)} = -0.346$ ,  $p = 0.731$ ), probability discounting ( $t_{(37)} = -0.813$ ,  $p = 0.421$ ), craving intensity ( $t_{(37)} = -0.723$ ,  $p = 0.474$ ), or in the frequency of cigarette use ( $t_{(37)} = -1.219$ ,  $p = 0.230$ ), but significant differences between the Control group and the Alcohol group (Completers and Non-Completers combined) were seen in age ( $t_{(83)} = -2.820$ ,  $p = 0.006$ ), years of education ( $t_{(83)} = 2.142$ ,  $p = 0.035$ ), cigarettes per day ( $t_{(83)} = -2.686$ ,  $p = 0.009$ ), and delay discounting ( $t_{(83)} = -2.026$ ,  $p = 0.046$ ) (Table 1). The groups differed on rates of both major depressive disorder (MDD) ( $\chi^2 = 0.002$ ) and post-traumatic stress disorder (PTSD) ( $\chi^2 = 0.005$ ). The Alcohol group included eight subjects with current MDD, two subjects with PTSD, and four subjects with comorbid MDD/PTSD diagnoses, while the Control group included only one subject with MDD.

### Controls vs Alcohol Group

Group differences during magnitude presentation show the Alcohol group exhibited greater activation in the calcarine, lingual, fusiform, and occipital gyri, cuneus, precuneus, superior medial gyrus (SMG), rostral anterior cingulate cortex (rACC), right superior temporal, angular, and supramarginal gyri, and right pre and postcentral gyrus, while the Control Group showed no significant regions of greater activation (Table 2). Within group analyses show that the Alcohol and Control groups both exhibited significant activation in the occipital, fusiform, and lingual gyri, ACC, middle cingulate cortex (MCC), supplementary motor area (SMA), superior parietal lobule (SPL), precentral gyrus, left postcentral gyrus, middle frontal gyrus (MFG), SMG, insula, thalamus, and the right inferior frontal gyrus (IFG; Fig. 2A, Table 3–4). In addition, the Control group exhibited significant activation in the left IFG, caudate, inferior parietal lobule (IPL), precuneus, posterior cingulate cortex, and right superior frontal gyrus (SFG; Table 4).

During delay presentation, significant group differences show that the Control compared to the Alcohol group exhibited greater activation in the calcarine, lingual, fusiform and occipital gyri, anterior insula, putamen, SMA, SMG, MCC, dorsal ACC (dACC), right MFG, right SPL and IPL, IFG, left thalamus, right supramarginal gyrus and pre- and postcentral gyri. The Alcohol group showed no significant regions of greater activation (Table 5). Within groups, the Control group exhibited significant activation in the calcarine, lingual, and occipital gyri, insula, putamen, caudate, thalamus, IFG, right pallidum, left rolandic operculum, SFG, SMG, SMA, MFG, ACC, MCC, and pre and postcentral gyri, while the Alcohol group showed no regions of significant activation (Fig. 2B, Table 6).

As groups significantly differ in MDD and PTSD diagnoses, a secondary analysis was conducted excluding 10 subjects in the alcohol group with either diagnosis. The differences in activation between Control and Alcohol groups, absent of any mental health diagnoses, remained significant.



### Completers vs Non-Completers

There were no significant whole-brain differences in activation between Completers and Non-Completers during the magnitude or delay events. Exploratory analyses using functional regions of interest from the Alcohol and Control group comparisons during magnitude (ACC) and delay (insula) events also show no significant differences between Completers and Non-Completers. Using a lower voxel height threshold of  $Z > 2.3$  (default in FSL), we found a group by craving interaction, where the relationship between craving and activation for magnitude differed between Completers and Non-Completers. Non-Completers exhibited a positive relationship between craving and activation in right IFG/MFG, while the relationship was negative in Completers (Fig. 4). This relationship was only significant for magnitude.

## DISCUSSION

This study used a probabilistic delay discounting task during fMRI to measure brain function associated with decision-making based on independently variable delays to receipt and monetary reward magnitudes, while accounting for risk. The Alcohol group demonstrated greater delay discounting than the Control group, consistent with the idea that impulsive choice is a potential behavioral phenotype in substance-use disorders (Bickel et al., 2014; Lim et al., 2017; MacKillop, 2013). In addition, in line with previous studies of impulsive choice in substance-use disorders (Bobova et al., 2009; MacKillop et al., 2011; Mitchell et al., 2005), the Alcohol group exhibited pronounced differences in regions critical for integrating, assessing, and updating information used by reward evaluation processes that determine choice preferences (Ernst and Paulus, 2005; McClure et al., 2004). Here we show that impulsive choice, as measured using the PDD, is a promising construct for differentiating between AUD and healthy controls. Furthermore, within the Alcohol group, study Completers and Non-Completers showed differential relationships between activation of the right lateral PFC and craving while evaluating reward magnitude, suggesting that lateral PFC activation may interact with relapse risk factors to influence goal-directed behavior in the early stages of recovery.

Limbic regions play an important role in executive function, where the anterior insula is thought to mediate recruitment of executive control regions by activating cognitive control signal transmission to the ACC, thereby enhancing cognitive and inhibitory control (Menon and Uddin, 2010). The Alcohol group exhibited less activation than Controls in MCC/dACC and anterior insula during delay, which may suggest that the Alcohol group is allocating less attentional resources to delay. This imbalance may be attributed to greater activation of the rACC in response to reward magnitude leading to an overvaluation of monetary reward. This interpretation is consistent with the functional parcellation of the ACC where the subgenual/rACC is responsible for processing emotional and motivational information (Zilverstand et al., 2018), while the MCC/dACC is implicated in attentional control (Anderson, 2016) and conflict monitoring (Botvinick et al., 2004; Carter and van Veen, 2007).

Within the cognitive control regions, the Alcohol group exhibited greater activation in the ventral medial PFC (VMPFC) during magnitude and diminished activation of the DLPFC during delay, which may indicate a lack of cognitive control and response inhibition during

delay. This is in line with computational models of neurobiological processes of self-control where VMPFC activation is associated with assessing and assigning value to basic attributes of an option, (i.e., magnitude, immediate availability), while abstract attributes, such as delay, requires DLPFC recruitment (Hare et al., 2014). These models postulate that the DLPFC modulates the activity of the VMPFC to maximize subjective benefits of the overall option (i.e., maximize monetary payout). Our results are consistent with this idea and suggest that less recruitment of the DLPFC during delay may enhance VMPFC reactivity to reward in the Alcohol group.

In summary, the anterior insula, ACC/MCC, and PFC are regions that are sensitive to highly relevant stimuli and responsible for salience detection and attentional control (Zilverstand et al., 2018). Our results showing that the Alcohol group exhibited greater activation in rACC and medial PFC in response to reward magnitude and less activation in MCC/dACC, anterior insula and lateral PFC during delay, may provide a mechanism by which greater salience attribution to reward and less attentional control for delay contributes to steeper discounting in AUD. This is in line with previous reports of greater salience attribution to reward (Bjork et al., 2012) and deficits in cognitive control (see (Le Berre et al., 2017)) affecting higher-order functioning and decision-making in substance-dependent individuals. We also show that increased craving is associated with greater activation of the lateral PFC in Non-Completers, while the opposite is true in Completers. This negative relationship between the PFC and craving in study Completers is similar to findings of a negative relationship between functional connectivity of the executive control network and craving in AUD patients maintaining sobriety (Kohno et al., 2017), suggesting that greater PFC activation may attenuate craving and increase the likelihood of successful treatment completion. As dysregulation of executive control regions interrupts goal-directed behavior (Zilverstand et al., 2018), these results may indicate a vulnerability, particularly in reward- and goal-driven behavior in treatment noncompleters, given the interaction was observed during reward magnitude response. Furthermore, as repeated drug and alcohol intake promotes excessive attention towards drug-related or reward cues mediated by the PFC (Goldstein and Volkow, 2011), frontal cortical regulation seems to be critical for overcoming relapse risk factors, such as craving, which may lead to higher instances of treatment completion and abstinence. Finally, as these results were no longer significant using more stringent p-values, these interpretations linking brain function to cognitive function should be taken with caution and future studies are required to simultaneously test the role of the PFC in reward-driven behavior to better understand the role of the PFC in treatment outcome.

### Limitations

This study demonstrates differences in neural processing during decision-making between individuals in early recovery from AUD and healthy control subjects; however, potential limitations should be mentioned. In this study, we aimed to collect alcohol use data for three months following baseline measures in the Alcohol group, which is considered the minimum time enrolled in a treatment program to see positive outcomes (e.g., abstinence, less criminal involvement, stronger mental health) (Deane et al., 2012). Similar to other studies reporting attrition rates of approximately 50%–80% in the first three months after entering drug and

alcohol treatment programs (Deane et al., 2012; Stark, 1992), we observed an attrition rate of roughly 51%, and despite attempts to follow AUD individuals for three months, our study Non-Completer group consisted mostly of individuals who dropped out of the study and three individuals who self-reported relapsing. Although treatment drop-out does not necessarily indicate relapse, a linear relationship exists between length of stay and positive treatment outcomes (Zhang et al., 2003), and relapse rates remain high for those who do receive treatment (Moos and Moos, 2006). Furthermore, as this study used differences in baseline measures to infer treatment completion outcome three months later, longitudinal studies are needed to determine if differences in brain function are sustained during the first three to six months of recovery and if they track with alcohol use and craving. We were unable to examine sex differences in this study due to the low number of female participants. Future studies examining the interactive effects of sex differences with relapse risk factors in promoting abstinence are important, as alcohol-related outcomes including rates of AUD (Keyes et al., 2008) and treatment enrollment (Agabio et al., 2017) vary between men and women.

Although we found an interaction between craving and DLPFC when assessing monetary reward magnitude between Non-Completers and Completers, it is important to note that self-report craving measures, such as the VAS, do not reliably correlate with alcohol-use behavior (Drobes and Thomas, 1999). Although it is possible that this simple measure of craving contributed to the loss of significance of the interaction we found when using a more stringent p-value, these results should be considered with caution. Future studies should examine the validity of this interaction by using a more robust measure of craving, such as the Penn Alcohol Craving Scale, which includes questions about the frequency, intensity, and duration of craving as well as the ability to resist drinking and an overall rating of craving for alcohol during the previous week (Flannery et al., 1999).

Potential PDD task design limitations were considered. The PDD tested differences in brain activation between groups when choosing between a constant immediate reward and an alternative reward, which varied in time, magnitude and probability of receiving the reward. With this design, we were unable to examine brain activation when choosing between two future delays. Evidence shows that during delay discounting, lateral prefrontal and posterior parietal activation was present irrespective of delay, even when two future delays were presented (McClure et al., 2004). As we found significant differences in lateral PFC and posterior parietal regions between the Alcohol and Control groups, future tasks would benefit from incorporating choice pairs with two future delays to distinguish the versatile roles of these regions during different decision-making paradigms. In addition, although this study examined brain function controlling for each parameter inherent in delay discounting (magnitude, delay, and probability), future studies should consider examining differences in brain reactivity to reward as a function of delay and uncertainty.

In this study, participants received additional compensation equal to one choice trial randomly selected from all trials (i.e., potentially real rewards). Discounting of real versus hypothetical monetary rewards has been extensively studied in delay and probability discounting, generally finding no statistical behavioral differences in responses between the two types of rewards (Matusiewicz et al., 2013). Furthermore, real and hypothetical rewards

during delay discounting have been shown to activate similar brain regions during fMRI (Bickel et al., 2009). Although real compensation randomly chosen from all trials incentivizes participants to answer each question as though it will be the one chosen, we did not collect information regarding concerns over future whereabouts and/or current financial states, which may potentially bias discounting behavior. Future studies should address this issue by implementing a debriefing at the end of the visit to control for any potential bias.

## Conclusion

Together, these results extend the neuroimaging literature of choice selection during delay discounting in AUD. Here, we provide evidence that attentional control and salience attribution is disrupted in AUD; such that dysfunctional ACC recruitment may diminish cognitive control signals to regions in the dorsal and ventral lateral PFC (Johnston et al., 2007; Menon and Uddin, 2010) further disrupting DLPFC function of processing abstract attributes and modulating VMPFC activation. Results from this study further support the importance of the PFC in executive and inhibitory control over reward-driven behavior, which is critical in regulating craving and maintaining sobriety. We postulate that alcohol-induced neuroadaptations may manifest in steeper discounting behavior and may mediate craving and vulnerability to relapse.

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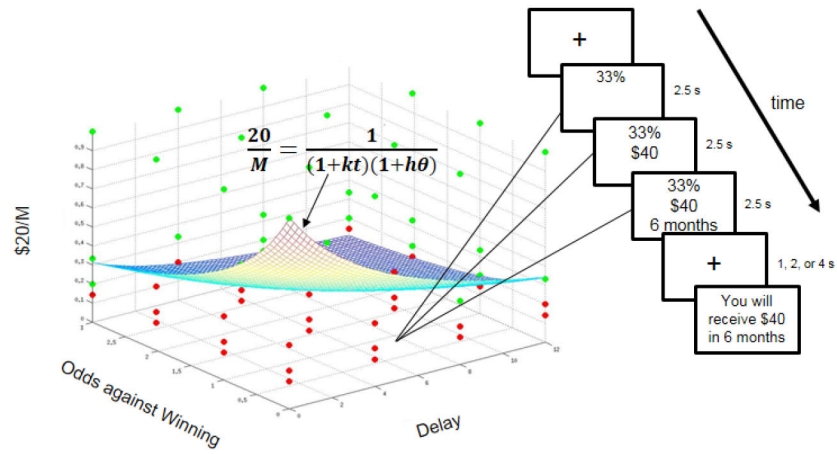
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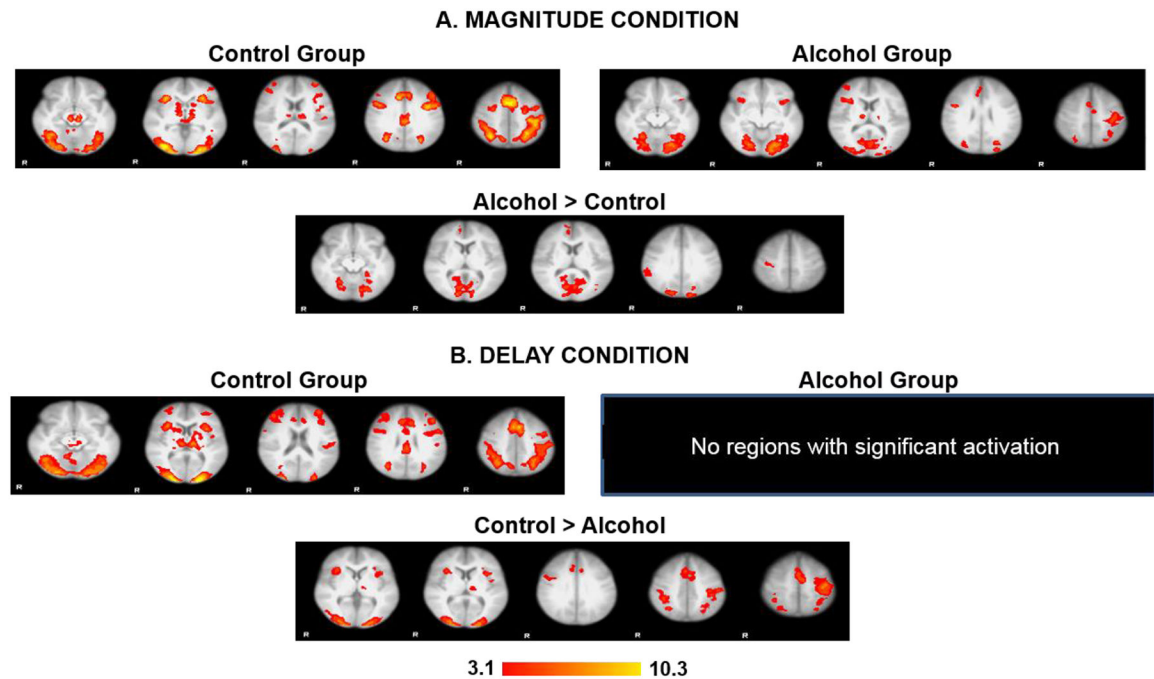
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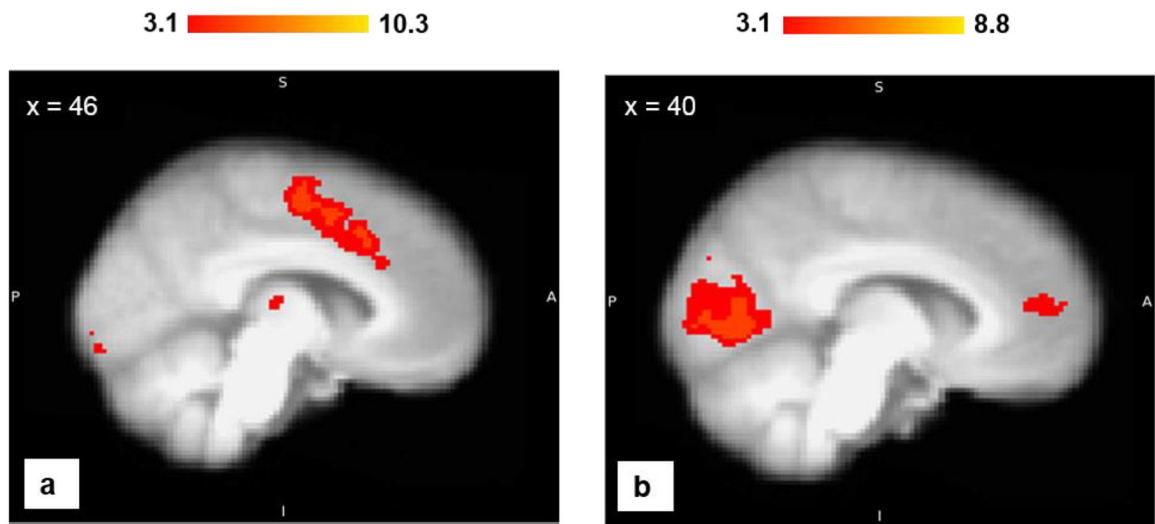
**Fig. 1. Probabilistic Delay Discounting task (PDD) with flow for fMRI presentation**  
 The surface that best separates the choices of the immediate, certain reward (green) from choices of the alternate reward (red) was determined



**Fig. 2. Group differences in activation elicited by Magnitude and Delay during the PDD**  
**a.** Magnitude-elicited activation was similar across Control and Alcohol groups; however, the Control group showed additional and more prominent regions of activation. Group comparisons showed the Alcohol group exhibited greater activation than Controls in the calcarine, lingual, fusiform, and occipital gyri, cuneus, precuneus, superior medial gyrus, rostral ACC (rACC), right superior temporal, angular, and supramarginal gyri, and right pre and postcentral gyrus, while the Control Group showed no significant regions of greater activation **b.** Delay-elicited activation was significant in multiple regions in the Control group, while the Alcohol group showed no regions of significant activation. Group comparisons showed the Control group exhibited greater activation than the Alcohol group in the occipital lobe, insula, putamen, left thalamus, inferior frontal gyrus, superior medial gyrus, supplementary motor area, right middle frontal gyrus, anterior and middle cingulate cortices, right superior and inferior parietal lobule, right supramarginal gyrus and pre- and postcentral gyri, while the Alcohol group showed no significant regions of greater activation.

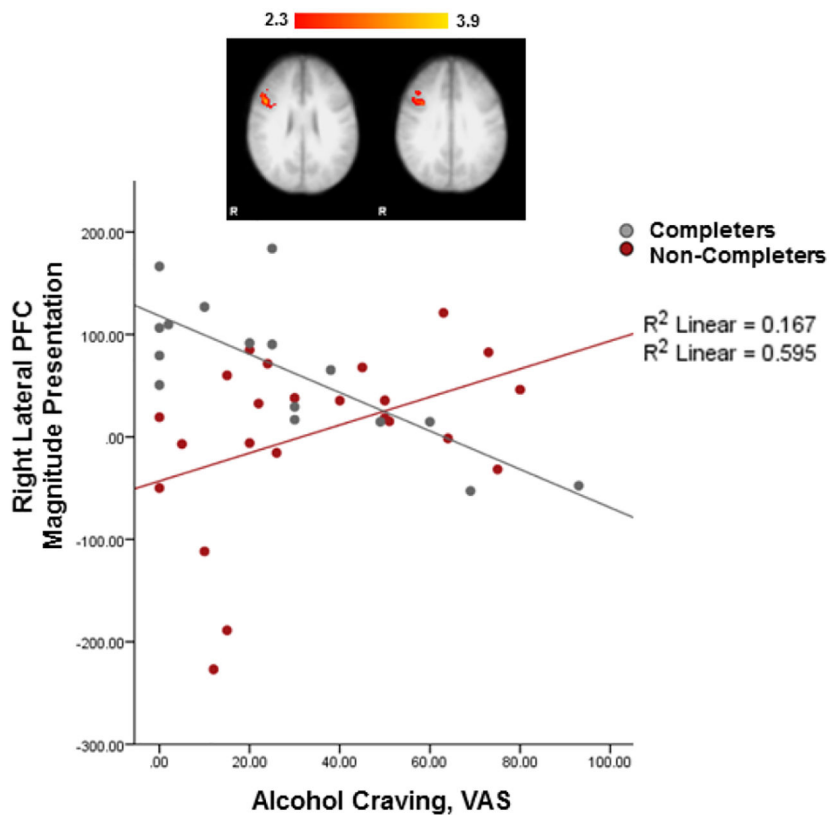
## Control Group &gt; Alcohol Group

## Alcohol Group &gt; Control Group



**Fig. 3. Group differences in anterior cingulate cortex activation**

**a.** Controls showed greater activation than the Alcohol group in the dorsal anterior cingulate/middle cingulate cortices during delay **b.** Alcohol group showed greater activation than controls in the rostral anterior cingulate cortex during magnitude



**Fig. 4. Group differences in the relationship between activation and craving**  
 Alcohol craving was assessed using a Visual Analog Scale (VAS) ranging from 0 (no craving) to 100 (most intense craving imaginable). Scatterplot illustrates the group interaction and shows differences between study Completers and Non-Completers on the relationship between craving and beta values for right lateral prefrontal cortex (LPFC) from the whole-brain regression during magnitude (group  $\times$  activation interaction)

**Table 1.**

Characteristics of Research Participants.

	<b>Completers (n=16)</b>	<b>Non-Completers (n=23)</b>	<b>Healthy Controls (n=46)</b>
Age (years)	39.88 ± 8.057	41.13 ± 10.132	35 ± 11.80 *
Sex (# male)	14	15	28
Education (years)	12.69 ± 1.778	12.93 ± 1.927	13.67 ± 1.765 *
Tobacco Use (# smokers)	9	15	15 *
Cigarettes per day	5.28 ± 6.335	8.35 ± 8.543	3.21 ± 5.505
Temporal Discounting (ln( <i>k</i> ))	-1.88 ± 1.316	-1.76 ± 0.961	-2.30 ± 1.117 *
Probability Discounting (ln( <i>h</i> ))	0.64 ± 0.8946	0.87 ± 0.8256	0.70 ± 0.8275

<i>Within Alcohol Group</i>		
<b>No significant differences between alcohol-use disorder groups (Completers and Non-Completers) in demographic or drug use variable.</b>		
	<b>Completers (n=16)</b>	<b>Non-Completers (n=23)</b>
Alcohol Use		
Years of use	19.56 ± 10.211	20.54 ± 9.490
Standard use per day	18.01 ± 9.630	16.43 ± 9.415
Alcohol Craving	28.19 ± 27.924	34.35 ± 24.923
Days abstinent prior to MRI	29.25 ± 0.338	25.64 ± 13.944

Data shown are means ± SD

\* Significant differences between Controls and Alcohol group (Completers and Non-Completers combined) ( $p < 0.05$ )



**Table 2.**

Brain regions that exhibited differences between Control and Alcohol groups during magnitude presentation

Brain region	Cluster size (voxels)	x <sup>a</sup>	y	z	Z statistic
<i>Alcohol group &gt; Healthy controls</i>					
<b>Cluster #1<sup>b</sup></b>	4788				
Calcarine gyrus (R) <sup>c</sup>		14	-88	2	6.58
Lingual gyrus (R)		12	-78	-2	6.54
Lingual gyrus (L)		-20	-76	-8	6.01
Fusiform gyrus (L)		-30	-58	-4	5.50
<b>Cluster #2</b>	912				
Superior occipital gyrus (L)		-14	-92	32	5.15
Cuneus (L)		-18	-78	36	4.89
Middle occipital gyrus (L)		-28	-88	26	4.83
Superior occipital gyrus/Precuneus (L)		-20	-74	30	4.76
<b>Cluster #3</b>	296				
Angular gyrus (R)		54	-52	24	4.36
Supramarginal gyrus (R)		54	-44	32	4.19
Superior temporal gyrus (R)		58	-40	20	3.55
<b>Cluster #4</b>	89				
Precentral gyrus (R)		38	-22	52	3.64
Postcentral gyrus (R)		32	-34	56	3.28
<b>Cluster #4</b>	80				
Superior medial gyrus (L/R)		10	60	12	3.98
Anterior cingulate cortex (L/R)		8	50	12	3.78

Z-statistic maps were thresholded using cluster-corrected statistics with a height-threshold of  $Z > 3.1$  and cluster-forming threshold of  $p < 0.05$ .

<sup>a</sup> x, y, z reflect coordinates (mm) for peak voxel or other local maxima in MNI\_152 space.

<sup>b</sup> Clusters are numbered and presented in order of decreasing size.

<sup>c</sup> L and R refers to left and right hemisphere.

\* Controlling for age, education, and cigarettes per day

**Table 3.**

Brain regions that exhibited significant activation during magnitude presentation in the Alcohol group

Brain region	Cluster size (voxels)	x <sup>a</sup>	y	z	Z statistic
<i>Alcohol Group</i>					
<b>Cluster #1<sup>b</sup></b>	7708				
Fusiform (L)		-22	-78	-10	6.78
Lingual/calcarine gyri (L)		-14	-86	-8	5.97
Lingual gyrus (R)		22	-76	-8	5.93
Fusiform (R)		26	-60	-12	5.52
Middle occipital gyrus (L)		-14	-100	6	5.43
<b>Cluster #2</b>	1264				
Precentral gyrus (L)		-38	-26	62	5.48
Postcentral gyrus (L)		-34	-24	50	5.39
Precentral/middle frontal gyri (L)		-34	-8	58	4.47
<b>Cluster #3</b>	607				
Insula (R)		36	26	2	4.76
Insula/inferior frontal gyrus (R)		30	18	8	4.71
Inferior frontal gyrus (R)		83		14	4.47
<b>Cluster #4</b>	494				
Supplementary motor area (L/R)			6	56	4.84
<b>Cluster #5</b>	223				
Middle/anterior cingulate cortex (L/R)		6	34	32	4.24
Superior medial gyrus/anterior cingulate cortex (L/R)		2	44	26	4.10
<b>Cluster #6</b>	146				
Thalamus (L)		-14	-14	6	4.46
<b>Cluster #7</b>	140				
Insula (L)		-34	14	-8	4.30
<b>Cluster #8</b>	127				
Middle frontal gyrus (R)		42	52	14	4.10
<b>Cluster #9</b>	117				
Thalamus (R)					
<b>Cluster #10</b>	99				
Precentral gyrus (R)		46	6	30	4.64

Z-statistic maps were thresholded using cluster-corrected statistics with a height-threshold of  $Z > 3.1$  and cluster-forming threshold of  $p < 0.05$ .

<sup>a</sup> x, y, z reflect coordinates (mm) for peak voxel or other local maxima in MNI\_152 space.

<sup>b</sup> Clusters are numbered and presented in order of decreasing size.

<sup>c</sup> L and R refers to left and right hemisphere.

\* Controlling for age, education, and cigarettes per day

**Table 4.**

Brain regions that exhibited significant activation during magnitude presentation in healthy controls

Brain region	Cluster size (voxels)	$x^a$	y	z	Z statistic
<i>Healthy Controls</i>					
<b>Cluster #1<sup>b</sup></b>	11,756				
Precentral gyrus (L)		-38	-26	58	8.42
Inferior parietal lobule (L)		-28	-54	46	7.95
Postcentral gyrus (L)		-42	-26	52	7.87
<b>Cluster #2</b>	4826				
Middle occipital gyrus (R)		36	-84	2	8.71
Fusiform gyrus (R)		30	-84	-6	7.65
Inferior/middle occipital/fusiform gyri (R)		26	-92	-4	7.40
Inferior temporal/inferior occipital gyri (R)		42	-60	-10	6.96
<b>Cluster #3</b>	3373				
Supplementary motor area (L/R)		0	10	50	8.80
Middle cingulate cortex (L/R)		12	24	30	7.64
Superior medial gyrus (L/R)		6	24	46	7.59
<b>Cluster #4</b>	3332				
Middle occipital gyrus (L)		-32	-92	-4	8.80
Middle/superior occipital gyrus (L)		-26	-92	4	8.20
<b>Cluster #5</b>	2798				
Angular gyrus/inferior parietal lobule (R)		32	-62	48	7.91
Middle occipital gyrus (R)		32	-70	34	7.27
Precuneus (R)		12	-68	50	5.90
<b>Cluster #6</b>	1123				
Inferior frontal gyrus (R)		46	6	26	6.08
Precentral gyrus (R)		48	10	32	5.85
Middle/superior frontal gyri (R)		32	4	54	5.03
<b>Cluster #7</b>	612				
Middle cingulate cortex (L/R)		2	-32	28	6.66
<b>Cluster #8</b>	556				
Insula (R)		32	24	2	6.99
<b>Cluster #9</b>	334				
Middle frontal gyrus (R)		42	40	20	4.90
Inferior frontal gyrus (R)		46	30	30	4.15
<b>Cluster #10</b>	263				
Middle frontal gyrus (L)		-34	56	16	5.12
Middle orbital gyrus (L)		-46	48	-2	4.11
Inferior frontal gyrus (L)		-44	50	-12	3.19
<b>Cluster #11</b>	159				
Postcentral gyrus (L)		-52	-20	18	5.68

Brain region	Cluster size (voxels)	x <sup>a</sup>	y	z	Z statistic
<i>Healthy Controls</i>					
Rolandic operculum (L)		-48	-20	20	4.96

Z-statistic maps were thresholded using cluster-corrected statistics with a height-threshold of  $Z > 3.1$  and cluster-forming threshold of  $p < 0.05$ .

<sup>a</sup> x, y, z reflect coordinates (mm) for peak voxel or other local maxima in MNI\_152 space.

<sup>b</sup> Clusters are numbered and presented in order of decreasing size.

<sup>c</sup> L and R refers to left and right hemisphere.

\* Controlling for age, education, and cigarettes per day

**Table 5.**

Brain regions that exhibited differences between Control and Alcohol groups during delay presentation

Brain region	Cluster size (voxels)	$x^a$	y	z	Z statistic
<i>Healthy controls &gt; Alcohol group</i>					
<b>Cluster #1<sup>b</sup></b>	3155				
Superior occipital/Calcarine gyri (R)		18	-98	6	7.33
Superior/Middle occipital gyrus (R)		22	-98	6	7.32
Lingual/Inferior occipital/Middle occipital gyri (R)		24	-86	-6	6.20
Inferior occipital gyrus (R)		36	-84	-6	5.83
<b>Cluster #2</b>	3151				
Precentral gyrus (L)		-40	-18	56	6.89
Postcentral gyrus (L)		-52	-20	50	5.24
<b>Cluster #3</b>	2365				
Middle occipital gyrus (L)		-20	-98	8	7.17
Inferior occipital/fusiform gyri (L)		-30	-86	-10	6.17
Lingual gyrus (L)		-22	-74	-10	5.54
<b>Cluster #4</b>	1753				
Supplementary motor area (SMA) (L/R)		-4	6	50	6.15
Middle cingulate cortex (L/R)		-8	22	38	5.11
Superior medial gyrus/Middle cingulate cortex/SMA (L/R)		4	20	44	5.01
<b>Cluster #5</b>	896				
Superior parietal lobule (R)		32	-62	50	5.61
Inferior parietal lobule (R)		40	-42	44	4.77
Middle occipital gyrus (R)		34	-62	38	4.66
Supramarginal gyrus (R)		36	-36	44	4.62
Postcentral gyrus (R)		46	-22	44	4.13
<b>Cluster #6</b>	415				
Insula/Putamen (R)		32	22	6	5.26
Insula (R)		36	16	2	4.88
<b>Cluster #7</b>	236				
Precentral gyrus (R)		48	4	30	4.61
Inferior frontal gyrus (R)		54	8	28	4.33
Precentral/Middle frontal gyri (R)		54	10	40	3.56
<b>Cluster #8</b>	229				
Insula/Inferior frontal gyrus (L)		-34	12	6	4.90
Insula (L)		-28	26	4	3.60
<b>Cluster #9</b>	93				
Thalamus (L)		-10	-18	8	4.40
<b>Cluster #10</b>	81				
Insula (L)		-42	-2	10	4.36

Z-statistic maps were thresholded using cluster-corrected statistics with a height-threshold of  $Z > 3.1$  and cluster-forming threshold of  $p < 0.05$ .

<sup>a</sup> x, y, z reflect coordinates (mm) for peak voxel or other local maxima in MNI\_152 space.

<sup>b</sup> Clusters are numbered and presented in order of decreasing size.

<sup>c</sup> L and R refers to left and right hemisphere.

\* Controlling for age, education, and cigarettes per day

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**Table 6.**

Brain regions that exhibited significant activation during delay presentation in healthy controls

Brain region	Cluster size (voxels)	x <sup>a</sup>	y	z	Z statistic
<i>Healthy Controls</i>					
<b>Cluster #1<sup>b</sup></b>	22,473				
Superior occipital/calcarine gyri (R)		18	-98	6	10.30
Superior/middle occipital gyri (R)		22	-98	6	10.10
Calcarine/inferior occipital gyri (R)		18	-96	-2	9.06
Lingual gyrus (R)		24	-86	-8	8.74
<b>Cluster #2</b>	5061				
Supplementary motor area (L/R)		0	10	52	8.58
Supplementary motor area/superior frontal gyrus (L/R)		-4	16	44	8.09
Superior medial gyrus/middle cingulate cortex (L/R)		4	20	44	7.65
<b>Cluster #3</b>	3546				
Thalamus (L)		-10	-18	8	6.96
Insula (L)		-30	24	2	6.82
Thalamus (R)		10	-20	10	6.30
<b>Cluster #4</b>	1279				
Middle frontal gyrus (R)		42	44	20	5.72
Superior frontal gyrus (R)		30	54	14	4.30
<b>Cluster #5</b>	1117				
Insula (R)		32	26	2	7.22
Putamen/caudate nucleus (R)		20	12	0	5.14
Caudate nucleus/pallidum (R)		14	12	8	4.27
<b>Cluster #6</b>	927				
Middle frontal gyrus (L)		-44	34	30	5.76
<b>Cluster #7</b>	370				
Inferior/middle frontal gyri (R)		36	4	34	4.76
Precentral gyrus (R)		50	6	34	4.07
<b>Cluster #8</b>	78				
Rolandic operculum/inferior frontal gyrus (L)		-44	-2	10	4.28

Z-statistic maps were thresholded using cluster-corrected statistics with a height-threshold of  $Z > 3.1$  and cluster-forming threshold of  $p < 0.05$ .

<sup>a</sup>x, y, z reflect coordinates (mm) for peak voxel or other local maxima in MNI\_152 space.

<sup>b</sup>Clusters are numbered and presented in order of decreasing size.

<sup>c</sup>L and R refers to left and right hemisphere.

\*Controlling for age, education, and cigarettes per day