



# Phase 0/00 – SBIR/STTR Application Support

## PURPOSE

Phase 0/00 is designed to help small life science and healthcare-related businesses in Oregon access funding through the federal Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs.

The Phase 0/00 program provides up to \$5,000, to be used for SBIR/STTR application support services, including written critiques of Phase I, Phase II (Phase 00) or Fast-track proposals, grant writing assistance, and additional services that help increase the competitiveness of the application. **Prior approval of reviewers and support services is required from Business Oregon and OCTRI.**

## GRANT REVIEW BENEFITS

- Strategic advice from experienced translational scientists
- Writing guidance and feedback on grant structure based on NIH criteria
- Connections with potential scientific consultants or advisors
- Recommendations for additional preliminary studies or data analysis
- Identification of application weaknesses prior to federal submission

## GRANT PREPARATION SERVICES

- Biostatistics and statistical design support
- Business plan assistance (e.g., marketing consultants)
- Consultant or mentor services for preparation of the SBIR/STTR proposal, including grant writing or graphic designer services
- Preliminary data preparation or analysis
- Patent and intellectual property analysis
- OHSU Research Cores and Shared Resources  
<http://www.ohsu.edu/xd/research/research-cores/>

## CONTACT OCTRI & BUSINESS OREGON

To learn more or schedule a consult, please contact:

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## KEY DATES

Phase 0/00 application due date:  
Minimum of 4 weeks prior to  
SBIR/STTR due date

Phase 0/00 award decision:  
3-5 business days after receipt of  
application

## ELIGIBILITY REQUIREMENTS

Important note: Please refer to the Frequently Asked Questions for a list of exceptions to the eligibility requirements listed below.

- Applicants must be traded sector Oregon-based small businesses. Oregon Statute 285A.010 defines traded sector businesses as “industries in which member firms sell their goods or services into markets for which national or international competition exists.”
- Applicant businesses must be healthcare-related.
- Applicant must meet all federal SBIR or STTR eligibility requirements. Additional information can be found [here](#).
- Applicants must conduct at least 51% of the research they describe in their federal proposal within Oregon.

## APPLICATION MATERIALS

The Phase 0/00 application can be accessed via [REDCap, here](#). Please be prepared to include the following documents (instructions for upload are given in the application).

1. An SBIR/STTR Phase 0 proposal, composed of:
  - Identification and significance of the problem
  - A brief background of the project
  - Related research or R&D
  - Commercialization potential
  - Outline of Phase I, II or Fast-track plan and timeline
2. Biosketches/resumes of key personnel
3. Completed questionnaire that identifies reviewers and/or services (found in the REDCap application form)
  - **Selecting reviewers:** *You may choose from a list of potential reviewers via [OTRADI's BioMentors program](#), the BIP's list of OHSU reviewers, or you may submit other names for consideration. OCTRI can assist with identifying reviewers pre- and post-award.*
  - **Application preparation services:** *Please select from the services listed on the [REDCap online application](#), or describe other requested services.*

## METHOD OF SELECTION AND EVALUATION CRITERIA

Phase 0/00 applications from eligible entities will be evaluated by OCTRI and Business Oregon, based on the following criteria:

- Clear and compelling abstract
- Significance of the proposed innovation
- Technical merit and topic responsiveness
- Commercial potential
- Concise, coherent proposal and application
- Team and available resources (qualifications, balance, etc.)
- Detailed outline of Phase I, II or Fast-track plan and timeline

## POST-AWARD FUNDING APPROVAL AND INVOICING

- Prior written approval of reviewers and support services is required from Business Oregon and OCTRI
- Awarded small businesses are responsible for paying service providers directly and submitting invoices to Business Oregon for reimbursement