

OHSU Principal Investigator Eligibility Requirements – Human, Animal and Biosafety Submissions

OHSU Research Development and Administration

Introduction

The purpose of this policy is to ensure that individuals who are named as Principal Investigator on human (Institutional Review Board (IRB)), animal (Institutional Animal Care and Use Committee (IACUC)) and biosafety (Institutional Biosafety Committee (IBC)) protocols have sufficient authority, appropriate background and accountability to carry out all aspects of the protocol including assumption of fiscal responsibility.

PI eligibility for IRB, IACUC and IBC submissions is different than for grants and awards, (see table below and related policy: [OHSU Principal Investigator Eligibility Requirements – Grants, Contracts and Awards](#)).

Policy

To be eligible to be a PI on an OHSU IRB, IACUC or IBC protocol, a person must be a faculty member paid by OHSU, VA, or Howard Hughes Medical Institute (HHMI) with an academic appointment (clinical professor, assistant professor, associate professor, professor, assistant scientist, associate scientist, senior scientist or instructor). PI eligibility must be met at the time of protocol submission.

Exceptions

The following individuals may be approved as a PI on IACUC, IRB, or IBC protocols on a case by case basis by obtaining a letter from the OHSU academic department chair or institute director assuming responsibility for administrative issues, including all financial matters including review fees, and permission from the IRB, IACUC, or IBC chair:

1. Other OHSU employees including licensed medical professionals employed by OHSU when sponsored project activities are within the scope of the individual's licensure/practice
2. OHSU faculty with affiliated appointments (e.g., emeritus faculty, adjunct faculty) who are not employed by OHSU

The chair or institute director does not need to be listed as a Co-Investigator (Co-I).

Students

Students may not act as PI's of IACUC, IRB, or IBC protocols, even if they act as PIs on grant applications for funds specifically directed to predoctoral students. The student's mentor or another individual must meet the requirements for eligibility as and be named the PI on associated IRB, IACUC, or IBC protocols. (See related policy: [OHSU Principal Investigator Eligibility Requirements – Grants, Contracts, and Awards](#).)

Investigator Responsibilities

All investigators must be authorized on a project-by-project basis to conduct sponsored projects at OHSU by acquiring the requisite signatures on the [Proposed Project Questionnaire \(PPQ\)](#). All PIs are obligated to adhere to the responsibilities of a PI as outlined in [OHSU's Roles and Responsibilities in the Proper Conduct of Research and Administration of Sponsored Projects](#) and all applicable [IRB](#), [IACUC](#), and [IBC](#) policies and procedures.

**Summary of Principal Investigator Requirements
Relationship between Grant/Awards and IRB, IACUC and IBC Submissions**

Position at OHSU	Eligible as PI on Grant/Contract/Award?	Eligible as PI on IRB, IACUC or IBC submission?
Paid OHSU VA, or HHMI faculty member	Yes	Yes
Affiliated faculty	Yes, with letter from Dept/Institute/Unit	Yes, with letter from Dept/Institute/Unit Director and permission of IRB,IACUC or IBC Chair
Postdoctoral research fellow	No R01s	No
Senior research associate	Yes	No
Postgraduate medical or dental trainees (Resident/Fellow Housestaff)	Yes	No
OHSU licensed medical professionals (sponsored project activities within scope of licensure)	Yes	Yes, with letter from Dept/Institute/Unit Director and permission of IRB,IACUC or IBC Chair
OHSU employees (sponsored project activities within scope of employment)	Yes, with letter from Dept/Institute/Unit Director	Yes, with letter from Dept/Institute/Unit Director and permission of IRB,IACUC or IBC Chair
Students	Yes for grants/awards specifically directed to predoctoral students	No