

Any [investigator](#) involved in research that has a [significant financial interest](#) in a research sponsor and/or the manufacturer, owner, assignee, or licensee of an investigational product or technology used in the research (defined as a [financially interested business](#)) must disclose this potential conflict on the [Conflict of Interest in Research \(CoIR\) disclosure](#) for review by the CoIR committee. This table shows examples of conflict of interest management plans that may be applied by the CoIR Committee. The “Conditions for level of management” listed below are factors that the committee considers in determining appropriate management. Because these factors are study-specific, each new human-subjects study where the investigator has a potential conflict must be reviewed.

Risk	Type of Financial Interest Held in “Financially Interested Business”	Management(Mgmt) Plan Guidelines ¹	Typically applies for	
			All Research	Human Subjects Research
Low	Compensation < \$10,000 ² in previous 12 months (none expected in future)	-None required. Note that some journals may still require public disclosure.	X	
Low	Compensation expected to be < \$10,000 ² in upcoming 12 months	-None required. Investigator should closely monitor dollar amounts to assure does not rise above \$10,000. Also note that some journals may still require public disclosure.	X	
↓	Monetary compensation > \$10,000 (in either previous or future 12 month period)	Mgmt will include: -Conflicted investigator should not be solely involved in consenting/enrolling subjects -Conflicted investigator should not be solely responsible for reporting UPs		X
↓	Stock or stock options (>\$10,000 or 5% of publicly traded or any amount of non-publicly traded)	Mgmt will include: -Conflicted investigator should not be involved in consenting/enrolling subjects -Conflicted investigator should not be involved in reporting UPs		X
↓	Inventor of technology studied in trial (if exclusively licensed), or Multiple Interests (e.g., stock and position in company)	Mgmt will include: -Conflicted investigator should not be involved in consenting/enrolling subjects -Conflicted investigator should not be involved in reporting UPs		X
		Mgmt may include: Should not be solely responsible for data analysis	X	
		Mgmt may include (for greater than minimal risk research, as determined by the IRB): -Monitoring by independent monitor or DSMB		X
High	Multiple Interests, including institutional conflict for OHSU (start-up company)	- Committee will also consider management for Institutional Conflict of Interest (ICol). Management as above in orange will apply. There may be additional restrictions in order for a human subjects trial to take place at OHSU.	See ICol review & management table	

¹In all cases where management is required, public disclosure in publications and presentations and in the consent form (for human subjects research) will be required

²Compensation or stock amounts refer to the amount received from a single entity/business. Compensation in the green rows is defined as both monetary compensation and stock or stock options in a publicly traded company

Considerations for level of management (The presence or absence of any of these factors may alter the risk and result in a modified management plan)

- Single or multi-site trial and number of subjects to be recruited at OHSU (for multi-site)
- Design of research and existing controls (double-blind, etc)
- Presence of DSMB or independent monitor
- Nature of research and degree of risk to potential subjects
- Degree to which financial interest is related to the research and whether financially interested business is the sponsor of the trial
- Unique qualifications of the investigator to participate in the research