

# Advances in the Multimodality Management of High-risk Prostate Cancer

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## KEYWORDS

- Prostate cancer • Adjuvant • Gleason score • Chemotherapy • Radiation
- Prostatectomy • Androgens

## KEY POINTS

- Prostate cancer is a disease with a spectrum of clinical outcomes in terms of progression and response to therapy.
- Disease progression may be predicted based on risk stratification using stage, grade, and prostate-specific antigen testing.
- High-risk localized prostate cancer often requires multimodal therapy because of local disease extension and the presence of micrometastases.
- Androgen deprivation therapy improves the results of radiation and surgery in select cases.
- Current studies are focused on adjuvant chemotherapy and biologic agents in combination with surgery and radiation.

## INTRODUCTION

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Prostate cancer is the most common visceral cancer and the second most lethal malignancy of men in the western hemisphere.<sup>1</sup> In the United States, there are approximately 240,000 new cases detected each year and 28,000 deaths, which account for 9% of all male cancer deaths. Current estimates indicate that a man's lifetime risk of prostate cancer death is about 3%. Since the introduction of prostate-specific antigen (PSA) testing over the last 2 decades, lethality rates have steadily declined, resulting in a 44% overall reduction in rate of prostate cancer mortality.<sup>2,3</sup> During this time period, several technical innovations in local therapies have been introduced that resulted in improved local control with a reduction in treatment morbidity.<sup>4</sup> These initial

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49 successes in patient outcomes from PSA testing fueled an enthusiasm for early pro-  
50 state cancer screening and treatment as a means to reduce the rate of prostate cancer  
51 mortality further. However, due to the often indolent nature of prostate cancer, the  
52 rates of diagnosis and treatment of clinically insignificant disease (ie, overdiagnosis  
53 and overtreatment) during this time period were calculated to be significant.<sup>5,6</sup> It  
54 is estimated that since the introduction of PSA testing, 1.3 million additional men have  
55 been diagnosed with prostate cancer, with younger patients experiencing the greatest  
56 relative increase compared with the pre-PSA era.<sup>5</sup>

## 57 PROSTATE CANCER SCREENING AND DETECTION

58 The effectiveness of population-based screening for the detection of prostate cancer  
59 has been studied in 2 large randomized trials but remains an area of major contro-  
60 versy. A randomized screening trial in Europe enrolling 182,000 men showed a 21%  
61 reduction in the rate of prostate cancer mortality at 11 years, increasing to 29% after  
62 adjusting for noncompliance.<sup>7</sup> In contrast, a US-based study of 77,000 men showed  
63 no survival benefit to PSA screening in comparison to controls.<sup>8</sup> Important limitations  
64 of this study that have been cited include a high rate of cross-contamination with  
65 frequent PSA screening in the control group and a follow-up period that was too short  
66 to assess rate of mortality.<sup>9</sup> A critical limitation of both of these trials was that, of the  
67 prostate cancer cases detected, 60% to 65% were low grade and thus had a reduced  
68 biologic potential for the development of clinically significant disease.<sup>8,10</sup> Based on the  
69 interim results from these trials, the US Preventative Services Task Force currently  
70 recommends against the use of PSA screening for prostate cancer detection.<sup>11</sup> Other  
71 health policy and professional organizations, including the American Society of Clin-  
72 ical Oncology, the National Comprehensive Cancer Network, and the American  
73 Cancer Society, recommend an informed and shared decision process toward pros-  
74 tate cancer screening.<sup>12,13</sup> Given the lack of consensus in this area, a personalized  
75 approach seems warranted in which the risks and benefits of screening are each care-  
76 fully weighed with the individual patient's health concerns in mind.

77 These studies highlight the need for improved methods of screening, which prefer-  
78 entially identify potentially lethal prostate cancer. Novel strategies such as these  
79 would optimize the efficiency of the screening process and ultimately direct the  
80 most suitable patients into evidence-based treatment pathways. Several biopsy  
81 prediction tools are currently available that demonstrate the capacity for the prediction  
82 of high-grade prostate cancer on biopsy (**Table 1**).<sup>14-17</sup> These prediction tools incor-  
83 porate several readily available clinical biomarkers that can be used to improve risk  
84 assessment for the detection of lethal cancer. These strategies help to reduce the  
85 number of unnecessary biopsies and reduce the rate of overdiagnosis in men with  
86 insignificant cancers. Future studies are likely to incorporate advanced imaging and  
87 both serum and urine biomarkers into the risk assessment of patients presenting for  
88 prostate cancer screening.<sup>18-21</sup>

## 91 RISK FACTORS FOR AGGRESSIVE PROSTATE CANCER

92 Several clinical and pathologic pretreatment factors are known to predict adverse clin-  
93 ical outcomes in localized prostate cancer. Validated predictors of cancer recurrence  
94 and progression that are widely used include Gleason biopsy grade, tumor stage, and  
95 level of serum PSA. Tumor stage and level of PSA primarily correlate with extent of  
96 tumor burden; however, these are less robust indicators of lethal potential than tumor  
97 grade. The effect of tumor grade was clearly demonstrated by Albertsen and  
98 colleagues,<sup>22</sup> who showed that the risk of prostate cancer mortality after observation  
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**Table 1**  
**Prostate biopsy prediction tools for the detection of high-grade cancer**

Reference	Prediction Tool	Population	Predictors	Accuracy	Validation
Thompson et al, <sup>17</sup> 2006	Logistic regression	Screening trial	Log PSA, DRE, prior biopsy, age, race	AUC = 70%	External
Nam et al, <sup>15</sup> 2007	Logistic regression	Referral cohort	Age, PSA, %fPSA, DRE	NA	Split sample
Ide et al, <sup>14</sup> 2008	Logistic regression	Referral cohort	PSA, testosterone	AUC = 70%	Split sample
Spurgeon et al, <sup>16</sup> 2006	Classification and regression tree analysis	Referral cohort	PSAD, prostate volume, age	Sensitivity = 92% Specificity = 36%	Split sample

*Abbreviations:* %fPSA, percent-free PSA; AUC, area under curve; DRE, digital rectal examination; NA, not available; PSA, prostate specific antigen; PSAD, PSA density.

alone was 16-fold higher in men with high-grade cancer compared with those with low-grade cancer. Similarly, in a Swedish trial of observation with a mean follow-up of more than 20 years for localized prostate cancer, tumor grade was highly predictive of cancer metastases and mortality.<sup>23</sup> During the follow-up period, 40% of patients experienced clinical progression and nearly half of these developed metastatic disease. In many cases cancer progression accelerated late in the disease course after a long period of nonprogression (10-15 years). In addition to highlighting the effect of Gleason score on cancer progression, this study also showed the need for continued vigilance and close observation in patients with prostate cancer, particularly among those with expected longevity.

A plethora of tissue markers have been studied as predictive biomarkers in prostate cancer.<sup>24,25</sup> These tissue biomarkers include oncogenic molecules involved in cell cycle progression, tissue invasion, metastases, cell survival, and angiogenesis. Although these hold great promise for use in patient management, to date none have proven to be as effective or cost-efficient as current clinical predictive models. Thus, until further data are available, tissue biomarker analyses should remain the subject of ongoing research studies.

To assess and manage the patient with clinically localized prostate cancer accurately, standardized risk stratification groups have been identified that integrate clinical biomarkers used in routine patient care. These risk stratification groups offer several advantages in patient management, including the following: (1) to serve as standard nomenclature for interdisciplinary teams that comanage patients, (2) to determine the need for staging studies, (3) to compare treatments across similar treatment groups, and (4) to codify patient groups for the development of clinical trials with special target populations in mind.

## RISK ASSESSMENT OF PROSTATE CANCER

There are several clinical factors that are predictive of disease recurrence that aid in the designation of patient risk groups. Although many of these variables have been examined individually, newer predictive models capable of combining several factors have resulted in refined tools that are available for routine clinical use. D'amico and colleagues<sup>26</sup> retrospectively developed a widely used strategy based on clinical

151 data from a single institution cohort undergoing either surgery or radiation with cura-  
 152 tive intent. This straightforward schema is based on biopsy Gleason score, tumor  
 153 stage, and level of PSA. Rates of relapse in risk groups were as follows (**Box 1**):  
 154 low-risk: less than 25%, intermediate-risk: 25% to 50%, and high-risk: greater than  
 155 50%. Risk factors that predicted a greater than 50% chance of cancer recurrence  
 156 included the following: clinical stage T3–4, a PSA >20, or a Gleason score  $\geq 8$ . These  
 157 predictors have been validated in external datasets and incorporated into routine  
 158 practice guidelines by the National Comprehensive Cancer Network and the European  
 159 Association of Urology.<sup>27,28</sup> In addition, tools have been developed that give a more  
 160 personalized readout in terms of predicting clinical outcomes. Nomograms have  
 161 been developed that give a numeric value (as opposed to risk grouping) for risk of  
 162 recurrence. These tools may be of use in informing individual patients of their progres-  
 163 sion risk in more refined terms than risk groupings provide.<sup>29,30</sup>

### 165 IMAGING IN PROSTATE CANCER

167 Patient risk stratification is the primary means of guiding the need and the type of  
 168 imaging modality in the workup of newly diagnosed prostate cancer. Prostate cancer  
 169 has a unique tropism for bone whereby it metastasizes in greater than 80% of patients  
 170 with systemic disease. Lesions involving bone are typically osteoblastic in nature and  
 171 are a harbinger of tumor progression and death. Radioisotope bone scans are gener-  
 172 ally reserved for those with high-risk prostate cancer. In lower risk patients bone scans  
 173 and other imaging modalities are typically omitted. In these cases patients are subject  
 174 to a higher rate of false-positive results because of the frequency of benign conditions  
 175 of the skeleton in elderly men. Newer bone imaging agents such as flourine-18 (<sup>18</sup>F)  
 176 and <sup>18</sup>F-fluorodeoxyglucose positron emission tomography/computed tomography  
 177 have shown encouraging preliminary results in terms of improved sensitivity and tumor  
 178 quantitation; however, these require further study before being incorporated into  
 179 regular clinical practice.<sup>31,32</sup> Computerized tomogram scans are of limited utility in  
 180 discerning the primary tumor extent or location,<sup>33</sup> although these are routinely  
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#### 183 **Box 1**

#### 184 **D'amico/National Comprehensive Cancer Network risk stratification in prostate cancer (risk of** 185 **treatment failure)**

186 *Low risk (<25%):*

187 Clinical T1–T2a

188 Gleason score 2–6

189 PSA <10 ng/mL

191 *Intermediate risk (25%–50%):*

192 Clinical T2b–T2c, or

193 Gleason score 7, or

194 PSA: 10–20 ng/mL

196 *High risk (>50%):*

197 Clinical T3–T4, or

198 Gleason score 8–10, or

199 PSA >20 ng/mL  
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202 deployed to assess regional lymph node status, especially in high-risk cases consid-  
 203 ered to be locally advanced (ie, PSA >20 ng/mL or the presence of T3–T4 cancer or if  
 204 the predicted chance of lymph node disease exceeds 20%).<sup>27,34</sup> In the future, the use  
 205 of magnetic resonance imaging<sup>35</sup> and ferromagnetic nanoparticles<sup>36</sup> are likely to  
 206 improve local and regional staging, but further study is needed to bring these prom-  
 207 ising modalities into the sphere of clinical practice. At the authors' institution, the utility  
 208 of shutter-speed image analysis for discriminating benign from malignant prostate  
 209 tissues using shutter-speed modeling is currently being evaluated.<sup>19</sup> This technique  
 210 has shown encouraging results in early phase testing but requires large-scale  
 211 prospective testing, which is in the planning stages.

## 213 SURGERY IMPROVES SURVIVAL IN PROSTATE CANCER

214 Radical prostatectomy is considered to be a primary treatment option for appropriate  
 215 cases of localized prostate cancer.<sup>27,28</sup> This concept is strongly supported by the  
 216 results of randomized controlled trials demonstrating significant improvements in  
 217 oncologic outcomes compared with observation alone. The direct impact of curative  
 218 therapy on the natural history of prostate cancer was first demonstrated in the Scan-  
 219 dinavian Prostate Cancer Group Study 4.<sup>37</sup> In this trial, 695 men with localized pros-  
 220 tate cancer were randomized to either observation alone or radical prostatectomy. At  
 221 baseline, the mean PSA was about 13 ng/mL with half of all patients having PSA  
 222 values greater than 10 ng/mL. With a median follow-up of 12.8 years, significant  
 223 improvements in cancer outcomes have been reported for men entered onto the  
 224 surgical intervention arm of the trial (**Table 2**). Surgery resulted in a 38% improve-  
 225 ment in disease-specific rate of mortality and a 25% improvement in overall survival.  
 226 Surgical intervention resulted in a 67% reduction in local progression and a 41%  
 227 reduction in the spread of metastases. More recently, in a study of 731 men diag-  
 228 nosed primarily through PSA testing, surgery was compared with observation alone.<sup>38</sup>  
 229 In the Prostate Intervention Versus Observation Trial (PIVOT), the mean PSA was  
 230 lower at 7.8 ng/mL and greater than 70% of patients had low-grade tumors (Gleason  
 231 6 or less). With a median follow-up of 10.0 years, there was no benefit to subjects  
 232 with Gleason 6 cancer or a preoperative PSA ≤10 ng/mL. However, in patients with  
 233 a PSA >10 ng/mL, surgery resulted in a 21% improvement in overall survival,  
 234 a 57% improvement in prostate cancer survival, and a 72% improvement in bone  
 235 metastases-free survival (see **Table 2**). Similar improvements for these metrics  
 236 were seen in patients with intermediate-risk and high-risk prostate cancer. Thus,  
 237 based on these and other studies, surgery is considered a mainstay of therapy in  
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240  
 241 **Table 2**  
 242 **Improvement in clinical outcome over observation in patients treated with surgery in**  
 243 **randomized controlled trials**

Outcome	SPCG-4	PIVOT Study	
		PSA ≤10 Ng/mL	PSA >10 ng/mL
Overall survival	25%	ns	21%
Prostate cancer survival	38%	ns	57%
Metastases-free survival	41%	ns	72%
Local control	67%	ns	NA

250 All numerical outcomes statistically significant ( $P < .05$ ).

251 *Abbreviations:* NA, not available; ns, nonsignificant; PIVOT, Prostate Cancer Intervention Versus  
 252 Observation Trial; SPCG, Swedish Prostate Cancer Group.

men with clinically significant, localized prostate cancer. This benefit seems to be greatest for men with higher levels of PSA and higher grade cancers. Because prostate cancer death is a relatively late occurrence among men with Gleason 6 cancer,<sup>23</sup> the PIVOT trial was poorly designed to capture these late events. Because of this, caution must be taken in making data inferences beyond 10 years in patients with a Gleason 6 or less tumor. Thus the long-term effect (>10 years) of surgery on low-grade PSA-detected prostate cancer remains unknown.

## SURGERY FOR ADVANCED PROSTATE CANCER

The rate of cancer recurrence measured by PSA testing after radical prostatectomy is reported to be approximately 30% to 40%.<sup>39–41</sup> Data from several contemporary surgery series have shown that the increased D'Amico/National Comprehensive Cancer Network (NCCN) category is associated with an increased chance of PSA recurrence and risk of prostate cancer death. In a report by Pound and colleagues<sup>42</sup> of patients with Gleason 8 or higher who were observed at the time of PSA recurrence after surgery, metastases-free survival at 7 years was only 29%. In a single-center experience of a cohort of men treated with either surgery or radiation, the risk of metastatic progression was 6.4-fold higher in D'Amico/NCCN high-risk versus intermediate-risk or low-risk patients.<sup>43</sup> In addition, in a large retrospective analysis of US population-based data containing nearly 150,000 prostate cancer cases, 33% were found to be at high risk. With a mean follow-up of 60.7 months, the estimated 10-year cancer-specific rate of mortality after radical prostatectomy was 5.8% for patients less than 60 years old, increasing steadily to 21.1% in patients greater than 80 years of age.<sup>44</sup> Thus, prostate cancer is a fatal disease in high-risk prostate cancer and risk of death increases with advancing age.

Disease recurrence after radical prostatectomy may occur locally in the surgical site or at distant sites such as the axial bones. Imaging is of limited use in locating the anatomic source of PSA recurrences when the levels are low.<sup>45–47</sup> However, recent clinical trial reports show that most of these early recurrences are local within the surgical bed (ie, prostatic fossa).<sup>48</sup> This finding clearly highlights the need for technical improvements in the surgical procedure along with the need for effective combination therapy when indicated.

Improvements in our comprehension of prostatic anatomy and its surrounding structures as well as the pathways of disease extension have resulted in technical advancements in the surgical procedure.<sup>49</sup> Surgery may be performed through a standard open technique or by robotic-assisted laparoscopy. These procedural modifications must include a precise and thorough apical dissection along with the wide local excision of the neurovascular bundles in advanced disease.<sup>50,51</sup> Currently it is unclear which of these 2 methods is superior, because limited comparative data are available for high-risk cases.

Further improvements in the tumor staging and clinical outcomes result from the performance of an extended pelvic lymph node dissection versus a more limited pelvic dissection.<sup>33,52</sup> Long-term PSA-free survival is possible in 10% to 20% of patients with positive lymph nodes treated with an extended (vs limited) pelvic lymph node dissection.<sup>33,53</sup> Extended pelvic lymph node dissection should be performed in all patients with intermediate-risk or high-risk prostate cancer. In low-risk patients a lymph node dissection is generally omitted but should be considered on a case-by-case basis, particularly if other adverse features are present. Long-term cancer control in these node-positive cases is achievable but may require the addition adjuvant and salvage therapies.



304 Contemporary modifications to standard treatment have resulted in improved  
305 cancer-free rates in patients undergoing either surgery or radiation. These contem-  
306 porary modifications are considered effective treatment options for low-risk to inter-  
307 mediate-risk prostate cancer; however, satisfactory therapeutic approaches have **Q8**  
308 yet to be developed for patients with clinically localized, high-risk disease.<sup>54</sup>  
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### 310 ADJUVANT AND SALVAGE RADIATION THERAPY

312 Disease recurrence after radical prostatectomy or radiation therapy may occur locally  
313 in the surgical site or at distant sites. Existing or suspected subclinical, microscopic  
314 disease is below the threshold of detection of any imaging modality and may forever  
315 be.<sup>46,47</sup> However, recent scientific data support the concept that, despite technically **Q9**  
316 adequate dissections, residual disease in the surgical bed can progress to metastases  
317 and death unless eradicated.

318 The benefit of maximizing local control in high-risk prostate cancer is strongly sup-  
319 ported by controlled prospective trials of adjuvant radiotherapy after prostatectomy  
320 (**Table 3**).<sup>55-57</sup> In a phase III trial of adjuvant pelvic radiation versus observation after  
321 surgery for high-risk disease, postoperative radiation resulted in improved disease  
322 control.<sup>55</sup> The European Organization for Research and Treatment of Cancer (EORTC)  
323 reported the results of 1005 men who were randomized to receive postoperative radi-  
324 ation if they had 1 of 3 well-known prognostic factors for disease recurrence after  
325 radical prostatectomy: positive margins, extracapsular extension, or seminal vesicle  
326 invasion. After a median follow-up of 5.0 years, the group treated with adjuvant radio-  
327 therapy after prostatectomy had a 52% reduction (74% vs 53%) in either biochemical  
328 or clinical progression compared with control subjects.<sup>55</sup> In this study, follow-up is  
329 ongoing to assess the effects on cancer-specific and overall survival.

330 Accordingly, the Southwest Oncology Group (SWOG) has reported the mature  
331 results of a phase III trial of postsurgery adjuvant radiotherapy. Entry criteria in this  
332 trial are identical to the aforementioned EORTC trial, with patients requiring a minimum  
333 of 1 of 3 poor prognostic factors. The study showed that adjuvant radiation after  
334 prostatectomy resulted in a 25% reduction in metastases or death.<sup>56,58</sup> The SWOG  
335 trial differed from the EORTC trial mainly in that although only 431 men were accrued,  
336 they were followed for a median of 10.6 years. Localized radiation reduced the risk  
337 of PSA recurrence by 57% and increased the time to PSA failure from 2.2 to  
338 9.2 years.<sup>56,58</sup> In a subsequent analysis using updated trial data with an additional  
339 2 years of median follow-up, Thompson and colleagues<sup>56</sup> showed that adjuvant radi-  
340 ation resulted in a statistically significant increase in metastasis-free survival (12.9 vs  
341 14.7 years) and overall survival (13.3 vs 15.2 years) in the group receiving early post-  
342 operative radiation therapy. In a third phase III trial reported by Wiegel and  
343 colleagues<sup>57</sup> in 2009, 307 patients with pathologic T3 disease or positive margins  
344 disease after radical prostatectomy were also randomized to undergo postoperative  
345 radiation or observation. The unique feature of this trial is that all patients were  
346 required to have an undetectable PSA postprostatectomy. After only a median  
347 follow-up period of 53.7 months, they demonstrated a roughly 50% reduction in  
348 biochemical recurrence (hazard ratio = 0.53,  $P = .0015$ ) and this was despite the  
349 fact that greater than 20% of the patients assigned to adjuvant radiation refused  
350 immediate radiation therapy.

351 Three separate phase III trials have now demonstrated significant reduction in  
352 biochemical recurrence for adjuvant radiation for patients with high-risk features after  
353 radical prostatectomy. All 3 trials meticulously captured toxicity data and demon-  
354 strated very little short-term toxicity and no significant long-term toxicity or impact

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<b>Table 3</b>					
<b>Results of adjuvant localized radiotherapy versus observation after surgery for high-risk prostate cancer</b>					
<b>Study</b>	<b>No. Subjects (Follow-up, Years)</b>	<b>Main Entry Criteria</b>	<b>Primary Endpoint</b>	<b>Treatment</b>	<b>Main Results</b>
Thompson et al, <sup>58</sup> 2006	431 (10.6)	Extracapsular extension, positive surgical margin, or seminal vesicle invasion	Metastases-free survival	60–64 Gy to prostate fossa	Metastases or death improved in RT group (HR 0.75, 95% CI, 0.55–1.02; <i>P</i> = .06). Improved PSA-free survival.
Bolla et al, <sup>57</sup>	1005 (5.0)	Extracapsular extension, positive surgical margin, or seminal vesicle invasion	PSA recurrence-free survival	60 Gy treatment within 16 wk of surgery to prostate fossa	RT reduced PSA recurrence by 52% (HR 0.48, 98% CI 0.37–0.62; <i>P</i> = <.0001). Improved locoregional control but no difference in metastases or overall survival
Wiegel et al, <sup>59</sup>	307 (4.5)	Pathologic T3–T4, N0 Undetectable PSA	PSA recurrence-free survival	60 Gy treatment within 6–12 wk of surgery to prostate fossa	RT reduced PSA recurrence (HR = 0.53; 95% CI, 0.37 to 0.79; <i>P</i> = .0015). No difference in metastases or overall survival

Abbreviations: CI, confidence interval; Gy, Gray; HR, hazard ratio; PSA, prostate-specific antigen.

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406 on quality-of-life measures.<sup>59</sup> With the body of evidence favoring adjuvant radiation,  
407 patients with high-risk features after prostatectomy should be considered for this  
408 treatment.

409 An alternative approach to adjuvant therapy that has been advocated by some  
410 experts is to implement early salvage radiation for a rising PSA after prostatectomy.  
411 Prostate cancer is unique from other tumor types in that a serum marker of early  
412 recurrence is readily available for assessment (ie, PSA). In untreated prostate  
413 cancer, the detection of PSA precedes the development of metastases and other  
414 clinical symptoms by many years.<sup>42,45</sup> Thus, close monitoring of the PSA with early  
415 treatment of recurrent disease may present an opportunity to improve the risk-  
416 benefit ratio of postoperative radiation. An important caveat of the use of early  
417 salvage therapy is that all published studies in this area are retrospective analyses  
418 of either single institution or pooled multi-institutional cohort samples. Thus, the  
419 published data reflect the wide variance in the selection characteristics for patients  
420 undergoing treatment as well as the interinstitutional treatment techniques for sal-  
421 vage therapy.

422 The largest of study to date was reported by Stephenson and colleagues<sup>60</sup> on  
423 1540 patients undergoing salvage radiation therapy to the prostatic fossa for PSA-  
424 only recurrence. A complete PSA response was achieved in 55% of patients with  
425 radiotherapy alone and in 59% of those receiving androgen deprivation in combina-  
426 tion with radiation. The benefit of salvage radiation was observed across all risk  
427 groups including those with high-risk pathologic features such as Gleason 8–10  
428 and seminal vesicle invasion. The presence of positive surgical margins, which is  
429 a predictor of poor local control in prostate cancer and other tumor types, paradox-  
430 ically predicted a better response to local radiotherapy than did the absence of sur-  
431 gical margins.

432 The 6-year PSA progression-free survival was 32% for the cohort, but improved to  
433 48% for those with a starting PSA of 0.5 ng/mL or less. The authors of this study make  
434 the case that this reduction in PSA recurrence is similar to the proportional decrease  
435 seen with adjuvant radiation ( $\approx 50\%$ ).<sup>57,58,61</sup> Based on these findings, some authori-  
436 ties advocate for determining the need for adjuvant versus planned early salvage on  
437 a case-by-case basis using a shared decision approach with the patient.<sup>62</sup>

438 Until further studies are completed, the debate between the choices of adjuvant  
439 versus salvage therapy will continue for patients with high-risk features after prostate  
440 surgery. Currently there are 2 ongoing phase III trials comparing the efficacy and  
441 safety of adjuvant versus salvage radiotherapy. The Medical Research Council is con-  
442 ducting a phase III trial entitled Radiotherapy and Androgen Deprivation in Combina-  
443 tion After Local Surgery Trial, which has 2 main substudies. The first compares  
444 adjuvant to salvage radiotherapy in men who may or may not be ideal candidates  
445 for local radiation. The second substudy compares the length of androgen deprivation  
446 (0, 6, or 24 months) in men designated to have radiation (adjuvant or salvage). The  
447 primary endpoint of this study is prostate cancer-specific survival. Trial accrual is tar-  
448 geted for 3000 subjects and enrollment is ongoing in Europe and Canada. A second  
449 study is the Radiotherapy Adjuvant Versus Early Salvage Following Radical Prostatec-  
450 tomy, which is being performed by the Tasmanian Radiation Oncology Group. Men in  
451 the adjuvant radiation arm will initiate radiation within 4 months of surgery, whereas in  
452 the delayed treatment arm, radiation will begin if the PSA exceeds 0.2 ng/mL. The  
453 primary endpoint is 5-year biochemical recurrence-free survival. Accrual of 470 men  
454 with high-risk features is ongoing in Australia and New Zealand. It is hoped that these  
455 2 studies will provide the much-needed insight as to the optimal approach for men  
456 with high-risk features after surgery.

**ADJUVANT AND NEOADJUVANT ANDROGEN DEPRIVATION WITH SURGERY**

The antitumoral effects of androgen deprivation on prostate cancer are well known to clinicians. However, recent studies have shown the androgen receptor (AR) to be the most important molecular target in the treatment of lethal prostate cancer.<sup>63</sup> It is a primary mediator of the development of prostate cancer as well as pan-resistance to multiple lines of treatment.<sup>64,65</sup> Many novel therapies incorporate AR targeting as a basis for improving treatment outcomes in combination with other treatments. Androgen deprivation has been studied extensively in combination with surgery in both preoperative and postoperative settings. The addition of androgen deprivation to surgery for lymph node–positive disease results in a significant improvement in cancer-specific and overall survival compared with surgery alone. In a randomized trial of early versus delayed androgen deprivation for node-positive disease, the use of early androgen deprivation resulted in a 4-fold improvement in prostate cancer-specific survival and a 2-fold improvement in overall survival.<sup>66</sup> In contrast, in a study of androgen deprivation alone for advanced nonoperable patients, the administration of early androgen deprivation failed to show an improvement in cancer-specific survival over delayed treatment, suggesting the potential need for surgical debulking.<sup>67</sup> Short-term neoadjuvant (preoperative) treatment with standard androgen deprivation has been studied in several clinical trials in Europe and North America. These trials have shown a uniform reduction in positive surgical margins and other histologic changes. Unfortunately, these studies have failed to show an effect on PSA recurrence or long-term survival.<sup>68–72</sup> Despite these shortcomings, newer more effective agents for AR targeting have shown promise in this area. Recently, Taplin<sup>73</sup> presented the results of a phase II trial of combined AR targeting with standard androgen deprivation plus the androgen biosynthesis inhibitor, abiraterone, before prostatectomy. This drug combination resulted in a 34% rate of either complete or near complete response in the prostatectomy specimens. Future studies of this and other AR targeting agents are warranted in the perioperative setting.

**ANDROGEN DEPRIVATION WITH RADIOTHERAPY**

Radiation is a mainstay in the treatment of prostate cancer, especially when used in conjunction with androgen deprivation. The rationale for this combination includes reducing cellular resistance to radiotherapy by reducing AR signaling and through the early treatment of micrometastatic disease. Androgen deprivation was initially combined cautiously with radiation because of concerns that testosterone suppression would induce treatment antagonism. Thus the original trial combining androgen suppression and radiation, Radiation Therapy Oncology Group (RTOG) 85-31, randomized patients to observation or androgen suppression immediately after the radiation concluded. The trial demonstrated an overall survival advantage at 10 years for patients with higher grade tumors, Gleason 7–10.<sup>74</sup> A contemporary trial, RTOG 86-10, asked the question directly of whether the androgen suppression would engender radiation resistance by randomizing patients to radiation alone or radiation with 4 months of hormone therapy, 2 months before the radiation and 2 months concurrent.<sup>75</sup> Although RTOG 86-10 did demonstrate an improvement in overall survival, it was not statistically significant. The 10-year prostate cancer–specific rate of mortality was statistically significant, however. These results were the basis for the subsequent trials testing the overall benefit of concurrent radiation and androgen deprivation (**Table 4**).<sup>74,76–85</sup>

One of the first trials to demonstrate a difference in overall survival as a primary endpoint was an EORTC trial of radiation alone versus radiation and concurrent

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**Table 4**  
Data summary of randomized trials demonstrating the oncologic benefits of androgen deprivation to radiotherapy in intermediate-risk and high-risk prostate cancer patients

Study	Eligibility Criteria	Years	No. of Patients	Androgen Deprivation	Radiation Dose	Significant Results
<b>Long-term hormones vs RT alone</b>						
RTOG 85-31 <sup>74</sup>	cT3, pT3, or N1 M0	1987–1992	977	Adjuvant indefinite LHRH	65–70 Gy	With median f/u 7.6 all, 11 for living patients, 10-y overall survival was 49% vs 39% ( $P = .002$ ) Gleason 7–10 10 prostate cancer-specific rate of mortality was 16% vs 22% ( $P = .0052$ ) Gleason 8–10
Umea University <sup>80</sup>	T3 N0-1 M0	1986–1991	91	Orchiectomy	65 Gy	Mean f/u 9.7 y all patients, 16.5 y for survivors Overall survival advantage for androgen therapy in N1 patients No significant difference for patients with N0
EORTC 22863 <sup>77</sup>	T1–T2 WHO grade 3, or T3–T4	1987–1995	415	Concurrent and adjuvant $\times$ 3 y	70 Gy	Median f/u was 9.1 y 10-y overall survival was 39.8% vs 58.1% ( $P = .0004$ ) 10-y prostate-cancer rate of mortality was 30.4% vs 10.3% ( $P < .0001$ )

*(continued on next page)*

<b>Table 4</b> <b>(continued)</b>						
<b>Study</b>	<b>Eligibility Criteria</b>	<b>Years</b>	<b>No. of Patients</b>	<b>Androgen Deprivation</b>	<b>Radiation Dose</b>	<b>Significant Results</b>
<b>Short-term hormones vs RT alone</b>						
RTOG 86-10 <sup>83</sup>	Bulky T2–T4	1987–1991	456	Neoadjuvant 2 mo and concurrent	65–70 Gy	Median f/u for survivors between 11.9 and 13.2 y 10-y overall survival was 43% vs 34% ( $P = .12$ ) 10-y prostate cancer-specific rate of mortality was 23% vs 36% ( $P = .01$ )
TROG 96-01 <sup>79</sup>	T2b–T4 N0	1996–2000	818	Neoadjuvant 2 mo and concurrent vs Neoadjuvant 5 mo and concurrent vs RT alone	66 Gy	Median f/u 10.6 y 10-y prostate cancer-specific rate of mortality was 22% vs 18.9% vs 11.4% ( $P = .0008$ ) for 0, 3, 6 mo of ADT 10-y all-cause rate of mortality was 42.5% vs 36.7% vs 29.2% ( $P = .0008$ ) for 0, 3, 6 mo ADT In summary, only 6 mo of ADT, 5 neo and 1 concurrent, showed significant improvement
D'amico Trial <sup>78</sup>	T1b–T2b and 1 of 3 factors: PSA >10 ng/mL, <40 ng/mL, Gleason 7–10 or MRI T3	1995–2001	206	Neoadjuvant 2 mo and concurrent 4 mo	70 Gy	Median f/u 7.6 y 8-y overall survival 74% vs 61% ( $P = .01$ ) 8-y prostate cancer-specific rate of mortality HR 4.1 ( $P = .01$ )
RTOG 94-08 <sup>82</sup>	T1b–T2b, PSA <20	1994–2001	1979	Neoadjuvant 2 mo and concurrent 2 mo	66.6 Gy	Median f/u 9.1 y 10-y overall survival was 62% vs 57% ( $P = .03$ ) 10-y prostate cancer-specific rate of mortality 8% vs 4% ( $P = .001$ )

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<b>Short-term vs long-term androgen deprivation with concurrent RT</b>						
RTOG 92-02 <sup>81</sup>	T2c–T4 N0	1992–1995	1554	Neoadjuvant 2 mo and concurrent 2 mo vs Neoadjuvant 2 mo and concurrent 24 mo	65–70 Gy	Median f/u survivors 11.31 and 11.27 y 10-y overall survival 51.6% vs 53.9% ( $P = .36$ ) 10-y prostate cancer-specific survival 83.9% vs 88.7% ( $P = .0042$ ) 10-y overall survival Gleason 8–10 31.9% vs 45.1% ( $P = .0061$ )
EORTC 22961 <sup>76</sup>	T1c–T2b pN+ or T2c–T4 N0–N1	1997–2001	970	Concurrent 6 mo vs Concurrent 36 mo	70 Gy	Median f/u 6.4 y 5-y overall rate of mortality 19.0% vs 15.2% ( $P = .65$ noninferiority test) 5-y prostate cancer-specific rate of mortality 4.7% vs 3.2% ( $P = .002$ )
<b>RT and long-term hormones vs long-term hormones alone</b>						
SPCGF-7/SFUO-3 <sup>85</sup>	T1b–T2 WHO G2–G3 M0 T3 M0	1996–2002	875	Lifelong ADT vs Neoadjuvant 3 mo, concurrent, and lifelong	70 Gy	Median f/u 7.6 y 10-y overall rate of mortality 39.4% in ADT alone vs 11.9% in ADT and RT ( $P = .004$ at 7 y) 10-y prostate cancer-specific rate of mortality was 23.9% in ADT alone and 11.9% in ADT and RT ( $P < .0001$ at 7 y)
NCIC CTG PR3/MRC PR07/SWOG <sup>84</sup>	T3–T4 or T2 PSA >40 ng/mL or PSA >20 Gleason $\geq$ 8	1995–2005	1205	Lifelong ADT	65–69 Gy	Median f/u 6 y 7-y overall survival 74% vs 66% ( $P = .03$ ) 7-y prostate cancer-specific rate of mortality 9% vs 19% ( $P = .01$ )

661 androgen-deprivation therapy (ADT) for 3 years. The 10-year results demonstrated 010  
662 a doubling in disease-free survival, 22.7% versus 47.7%, along with an improvement  
663 in overall survival from 39.8% to 58.1%.<sup>77,86</sup> The use of concurrent androgen depriva-  
664 tion has been demonstrated to improve overall survival with only 6 months of  
665 therapy.<sup>82,87</sup> An RTOG study, 92-02, demonstrated an overall survival difference for  
666 patients with Gleason 8–10 cancers when subjects received more than 2 years of  
667 androgen deprivation therapy versus only 4 months.<sup>81</sup> An independent EORTC study  
668 demonstrated 3 years of ADT to be superior to 6 months of androgen deprivation for all  
669 patients with high-risk disease.<sup>76</sup> In conclusion, these trials demonstrated a significant  
670 improvement in all outcomes with androgen deprivation when added to radiation  
671 treatment. Underlying these positive results was a growing appreciation for the signif-  
672 icance of the androgen suppression in prostate cancer and a nagging concern about  
673 whether the same benefits were achievable without the radiation.

674 In the last few years, 2 additional trials have been reported that asked the question  
675 of whether radiation could improve on continuous ADT alone. These 2 separate, large,  
676 randomized trials have demonstrated a large and very significant overall survival  
677 advantage for patients with high-risk disease when they receive ADT and radiation  
678 over receiving indefinite ADT by itself. Both of these trials emphatically demonstrate  
679 the synergy of multimodality therapy in prostate cancer.

## 681 INTEGRATION OF CHEMOTHERAPY INTO PRIMARY THERAPY

682 Disease recurrence after primary treatment may occur in the local treatment site or in  
683 distant areas such as bone or regional lymph nodes. In other cancer types the use of  
684 systemic therapies are commonly used to treat both the local tumor and the microme-  
685 tastatic disease not removed with surgery. Several treatment combinations are  
686 currently under study for prostate cancer.

### 688 *Adjuvant Chemotherapy*

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690 Taxane-based chemotherapies have been shown to improve overall survival in  
691 advanced prostate cancer. In phase III trials of men with metastatic castration-  
692 resistant prostate cancer, taxanes demonstrated a survival advantage over controls  
693 in both the first-line and the second-line settings.<sup>88–90</sup> These encouraging studies  
694 have led investigators to study these drugs after standard treatment with either sur-  
695 gery or radiation. Postprostatectomy docetaxel was studied in a phase II trial of  
696 77 men with high-risk prostate cancer. For the group, the predicted time to PSA pro-  
697 gression was 10.0 months based on tumor characteristics; however, observed time to  
698 progression was 15.7 months. The RTOG is examining the effect of radiation with  
699 androgen deprivation plus or minus docetaxel chemotherapy on overall survival in  
700 high-risk prostate cancer.<sup>91</sup> This trial has fully accrued its planned 600 patients and  
701 is awaiting completed follow-up. The Veterans Administration is studying the effect  
702 of docetaxel plus prednisone after prostatectomy versus surgery alone on PSA recur-  
703 rence and metastases-free survival.<sup>92</sup> For this trial accrual is complete and follow-up is  
704 ongoing. These important studies will offer the first insights into the effect of active  
705 chemotherapy on the progression of prostate cancer in the posttreatment setting.

### 707 *Neoadjuvant Chemotherapy*

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708 Taxane-based chemotherapies have been studied by several investigative groups  
709 including the authors' group in the neoadjuvant setting for high-risk prostate cancer.<sup>54</sup>  
710 These trials have shown that in the castration-sensitive setting, taxanes have antineo-  
711 plastic activity as demonstrated by PSA changes and histologic findings in prostate

specimens; however, the effects are limited and have not met treatment thresholds to justify larger scale trials.<sup>93–97</sup> The use of docetaxel in combination with androgen deprivation has been studied with more promising results.<sup>98</sup> In a phase II study of docetaxel and androgen deprivation before prostatectomy, a complete tumor response was observed in 3% of subjects.<sup>98</sup> One limitation of the study was that because there was no control arm, it is unknown if these effects are due to the drug combination or to the androgen deprivation itself. Currently the effectiveness of this combination is being studied in a phase III trial comparing this regimen with surgery to surgery alone. The accrual target for this trial is 750 subjects and the primary endpoint is progression-free survival. As advanced treatments that harness the knowledge of specific molecular targets expand, the need for large-scale neoadjuvant trials will increase.

## SUMMARY

In summary, numerous developments in the fields of surgery and radiation have improved treatment outcomes in high-risk prostate cancer. However, continued advancements in these monotherapies are limited by prevalence of subclinical metastases and microscopic local tumor extension. The selective addition of adjuvant treatment significantly improves survival over standard treatment. These options should be presented to the patient in a balanced manner that considers risks and benefits. Future developments in the treatment of advanced prostate cancer therapy will likely be guided by advances in the understanding of prostate cancer biology.

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