

Financial Conflict of Interest Disclosure for IBC Members

Section IV-B-2-a-(4) of the NIH Guidelines for Research Involving Recombinant DNA

Molecules states: No member of an Institutional Biosafety Committee may be involved (except to provide information requested by the Institutional Biosafety Committee) in the review or approval of a project in which he/she has been or expects to be engaged or has a direct financial interest.

If you are assigned as primary or secondary reviewer for a project that is sponsored by or otherwise associated with an entity with which you have a significant financial interest, or if you are to be an investigator on that project, please notify the IBC Integrity manager or Coordinator so that the project may be reassigned.

Significant Financial Interests include the following interests of the IBC Member (and his or her spouse and dependent children), or of any business controlled or directed by the individual or his or her spouse:

- Consulting fees, honoraria (including honoraria from a third party, if the original source is a [financially interested business](#)), gifts or other “in kind” compensation from a [financially interested business](#) (or entitlement to the same) that in the aggregate have in the prior calendar year exceeded the de minimis amount established in PHS regulation (presently \$10,000), or are expected to exceed that amount in the next twelve months.
- Equity interests, including stock options, of any amount in a non-publicly-traded [financially interested business](#) (or entitlement to the same).
- Equity interests (or entitlement to the same) in a publicly-traded [financially interested business](#) that exceed the defined de minimis amount (see exceptions below).
- Royalty income or the right to receive future royalties under a patent license, copyright, or other agreement where the research is directly related to the licensed technology or work.
- Service as an officer, director, or in any other [executive position](#) for a [financially interested business](#), whether or not remuneration is received for such service.

Exceptions: Significant financial interests in research *do not include* the following:

- Interests of any amount in publicly traded, diversified mutual funds.
- Stock or stock options in a publicly-traded company that (when valued in reference to current public prices or using accepted valuation methods) meets the de minimis criteria established in PHS financial disclosure regulations (presently, an interest that does not exceed \$10,000 in value and does not represent more than a 5% ownership interest in any single entity).
- Payments to the institution, or via the institution to the individual, that are directly related to reasonable costs incurred in the conduct of research as specified in the research agreement(s) between the sponsor and the institution.
- Salary and other payments for services from the institution, including approved faculty practice plan earnings and the distribution of those earnings that may be established by departmental or other similar agreements provided that those agreements and departmental/divisional group plans are approved by the President.
- Income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities.
- Income from service on governmental and not for profit advisory bodies, (including scientific and technical groups) commissions, committees of professional associations related to the employee's work and consultations with persons in other governmental agencies or not for profit organizations on matters of mutual interest to the entity and OHSU.

Definitions used in this document:

Financially Interested Business means any business with financial interests that would reasonably appear to be affected by the conduct or outcome of the IBC project to be reviewed (including the sponsor of the research and/or the manufacturer, owner, assignee, or licensee of an investigational product or technology used in the research). This term includes businesses that compete with the sponsor or the manufacturer/licensee of an investigational product, if the covered individual actually knows that the financial interests of such a business would reasonably appear to be affected by the research. This term also includes any entity acting as the agent of a financially interested business (e.g., a contract research organization).

Executive Position: Any position that includes responsibilities for a material segment of the operation or management of a business. This would include a position on a Board of Directors.