



SOP: Management of Financial Interests

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1. PURPOSE

- 1.1. This procedure establishes the process to evaluate and manage financial conflicts of interests <Related to the Research>.
- 1.2. This procedure begins when an individual or the [Organization] discloses a financial interest <Related to the Research>.
- 1.3. This procedure ends when the [Conflicts of Interests Officer] decides that the financial interest is not a conflict of interest, or informs the IRB of the management plan.

2. POLICY

- 2.1. The financial disclosure threshold may not vary by funding or regulatory oversight. Financial disclosure requirements are stated in "Policy No. 10-01-035 Conflicts of Interest in Research" and "10-01-021 Institutional Conflicts of Interest."
- 2.2. The IRB has the authority to decide whether a financial interest of an investigator or the institution, and the management plan, if any, allow the research to meet criteria for approval.

3. RESPONSIBILITY

- 3.1. [Conflicts of Interests Officer] carries out these procedures.

4. PROCEDURE

- 4.1. Review the reported financial interest and the research following "Policy No. 10-01-035 Conflicts of Interest in Research."
- 4.2. Provide the HRPP staff member handling the submission with the written management plan.

5. REFERENCES

- 5.1. 42 CFR §50
- 5.2. 45 CFR §94