Welcome
July 2004
SPIN Meeting Agenda

- Introduction, Lynette Arias, Director, Sponsored Projects Administration (SPA)
- Upcoming Events, John Caruso, Education & Training Coordinator, SPA
- Follow up on Cost Sharing, Lynette Arias, Director, SPA, Thomas Marcussen, Director, Research Support Office (RSO), Sarah Corrigan, Supervisor, Finance & Accounting
- Federal Demonstration Partnership (FDP) Update, David Robinson, Assistant Professor, CROET/School of Medicine (SoM),
- Oracle Grants Accounting (OGA) Updates, Garrett Keeton, Information Systems Manager, SPA
- SPA Hot Topics, Lynette Arias, Director, SPA
- RSO Hot Topics, Thomas Marcussen, Director, RSO
Upcoming Events

John Caruso, Education & Training Coordinator
Sponsored Projects Administration
NCURA Video Workshop

“Project Management”
Thursday, July 15, 2004
1:30pm – 5:15pm
UHS 8B-60
OHSU Host: Rick Landes, Dept Admin, Molecular Microbiology & Immunology, SoM
New PEP Class

New Post-award Education Program Class: Post-award Activities II

Taught by team of SPA Analysts

Planned topics include:

- Tour of online project management resources
- Discussion of new Labor Cost Transfer Approval Form
- Look at Financial Status Reports and related materials
- Explanation of how Cost Sharing accounts work
PAA II (West Campus)

- Post-award Activities II (West Campus)
- Tuesday, July 27, 9:30am – 12:00pm
- AB401 at OGI
- Pre-registration required
- Contact: SPA Education, x4-9063 or spaedu@ohsu.edu
PAA II (Central Campus)

Post-award Activities II (Central Campus)

Tuesday, August 3, 1:30pm – 4:00pm

Medical Research Bldg, R310

Pre-registration required

Contact: SPA Education, x4-9063 or spaedu@ohsu.edu
Cost Sharing Forums

-central campus
- Monday, July 19, 10:00am-11:00am
- UHS 8B-60

-west campus
- Thursday, July 22, 2:00pm-3:00pm
- AB401 at OGI
Follow up on Cost Sharing

Lynette Arias, Director, SPA
Tom Marcussen, Director, RSO
Sarah Corrigan, Supervisor, Finance & Accounting
Cost Sharing on the Web

SPA Web Site

http://www.ohsu.edu/research/rda/spa/docs/cost_share_proc.pdf

Policy and Procedure site

http://www.ohsu.edu/research/rda/spa/spapolicies.shtml
Federal Demonstration Partnership Update

David Robinson, Ph.D.
Assistant Professor, CROET/SoM
The Inspectors General recently proposed that there were gaping holes in National Security in university scientific research.

Their recommendations, which would become law if accepted, include the following:

- Requiring Export Licenses
- Requiring Security Systems
- Ending “Fundamental Research” Exemption
According to Inspectors General recommendations, universities would need to seek licenses for each foreign-born student, faculty member, or staff member with access to such everyday tools as 20-liter fermenters, computer-controlled equipment, etc.
The Inspectors General also recommended that universities be required to install security systems to individually control access to equipment.
Universities currently fall under a “fundamental research” exemption allowing them to work in potentially sensitive areas without a publication restriction.

The Inspectors General proposed that this “fundamental research” exemption be removed.
The FDP, with NIH, has been looking into the success of the modular grant process.

Results show that:

- These grants are extremely popular
- The $250k is too small in light of the growing size of student and postdoctoral salaries.
- Most of the dissatisfaction comes from “internal handling” of budgets
The FDP will be pursuing the possibility of setting up demonstrations to:

- Examine the feasibility of increasing the grant amount
- Bring in more Federal Agencies into the modular grant process
- Define a minimal set of budget information that should be collected prior to submission and thus reduce the burden on PIs
The FDP plans to have a standing session whereby it polls the faculty representatives regarding present and proposed Electronic Research Administration efforts.
In this session on the ERA, the faculty indicated:

- Dissatisfaction with tools for finding or subscribing to program announcements of interest.
- That in any ERA solution key, parts of a proposal must be under complete control of the PI (in-line images, use of Greek letters or italicized Latin names in titles etc.)
- That there would be some merit in the formation of a Federal PI database that could store recurring information needed for grant submissions such as publications, collaborator lists, current support, etc.
Other Topics

Survey of PI administrative burden

- A pilot survey was sent out to 5 selected schools to test its adequacy.
- A few changes will be made and a full survey will be distributed to all FDP members in the fall.

Visit from the Inspectors General

- The Inspector Generals from NSF and NASA lead a session to begin a dialogue on accountability and whether current audit practices are effective and efficient for safeguarding the public’s investment in research.
Oracle Grant Accounting Integration Project

David Robinson, Ph.D.
Assistant Professor, CROET/SoM
After reviewing the “Research Review of Oracle Administrative Applications” in October, 2003 the OHSU Executive Committee:

- Formed a VP Oversight Committee, lead by Dean Potempa, to address the issues and concerns raised in the report.
- Initiated a search for a “Project Director for Oracle Grant Accounting Integration” who would be responsible for formulating and implementing a tactical plan for addressing the concerns raised in the report and any new issues that may arise.
The Project Director has been given 2 years to address the issues raised in the report and set in place processes by which new issues can be raised and dealt with in a timely and efficient manner.
OGA Updates

Garrett Keeton, Information Systems Manager
Sponsored Projects Administration
SPA Hot Topics

Lynette Arias, Director
Sponsored Projects Administration (SPA)
SPA Hot Topics

- “Clinical Trial” Deficit Procedure
- Use of Human Subjects in the future
  - [http://www.ohsu.edu/research/rda/irb/docs/procedures/grantpreaward.pdf](http://www.ohsu.edu/research/rda/irb/docs/procedures/grantpreaward.pdf)
- Use of Program Development funds for defined projects
  - [http://www.ohsu.edu/research/rda/spa/docs/pdguidelines.pdf](http://www.ohsu.edu/research/rda/spa/docs/pdguidelines.pdf)
“Clinical Trial” Deficit Procedure

Deficits > $15,000 will be monitored

Standard notification to Project Fiscal Manager asking for reason for deficit, expected timeline, etc.

Purpose: to provide standard communication related to deficits and means of assistance, as needed

It is well understood and agreed that deficits will occur in clinical trials

Lack of response or action will cause a study account to be closed
“Clinical Trial” Deficit Reasons

- Incorrect or unprocessed payroll or other expenses have caused the account to be in deficit
- The department has not invoiced the sponsor
- The sponsor has not paid invoices that have been submitted; has not paid based on the CRF’s submitted; or has not paid per the contract (fixed/scheduled payments)
“Clinical Trial” Deficit Reasons

- Sponsor monitoring visits triggering a payment have been delayed or are too infrequent.
- The payment terms in the contract with the sponsor are not frequent enough to avoid large deficits in the clinical trial account.
- The contracted budget for the study is not sufficient to cover the costs of the study.
RSO Hot Topics

Thomas Marcussen, Director
Research Support Office (RSO)
The next **SPIN** meeting will be on September 16, 2004 at 9:30am in UHS 8B-60.
THANK YOU