

ARRA

Post Award Management

September 16, 2009

Welcome



- Sponsored Projects Administration
 - ❖ James Trotter, Director
 - ❖ Cari Benveniste, Award Analysis Manager
 - ❖ Katherine Stark, Award Analysis Supervisor
 - ❖ Nancy Duncan, Award Analysis Supervisor
 - ❖ Laura Smith, Sr. Financial Associate
- Research Grants & Contracts
 - ❖ Deborah Golden-Eppelein



Agenda

- OGA Account Setup
- Terms and Conditions
- Reporting Requirements
- Outgoing Subawards
- Tips for Post Award Management
- Q & A



OGA Account Setup

Cari Benveniste
Award Analysis Manager



OGA Account Setup

- All ARRA awards named with 'ST' at end of OGA award and project numbers
- If it's a supplement, numbering will match parent award
- All ARRA awards administered by the Analyst Supervisors
- Some non-ARRA applications have been awarded with ARRA funding



OGA Account Setup

- All ARRA awards setup with expenditure controls on typical "unallowable" expenditure types
 - ❖ GR-Books Periodicals Subscriptions
 - ❖ GR-Duplicating & Copying
 - ❖ GR-Hosting Groups & Guests
 - ❖ GR-Memberships in Profesnl Org
 - ❖ GR- Office & Admin Supplies
 - ❖ GR-Parking
 - ❖ GR-Postage
 - ❖ GR-Telecommunications



OGA Account Setup

- SPA will review miscellaneous expenditures monthly as part of the ARRA cash draw process
 - ❖ High level cursory review
 - ❖ Any expense identified may require prompt departmental justification or removal



ARRA Terms and Conditions

Nancy Duncan
Award Analysis Supervisor



ARRA Terms and Conditions

- Same standard terms and conditions as other FDP/expanded authority awards
 - ❖ PreAward Costs Allowed-90 days or less
 - ❖ Rebudgeting Allowed-Unless Change in Scope
 - ❖ First No-Cost Extension allowed
 - ❖ Separate FSR, Final Invention Statement, and Final Progress Report Required at Close Out for both Supplemental and New/Competing Awards.



ARRA Terms and Conditions

- Things to remember
 - ❖ Supplements to parent grants are separate buckets of money
 - ❖ Supplemental Award data must be included in Parent Annual Progress Report
 - ❖ Cannot rebudget between parent grant and ARRA supplement



ARRA Terms and Conditions

- NoA Clarifications-NIH
 - ❖ "Support in future years should be spent at no more than a 3% escalation in recurring costs"
 - Other institutions were submitting detailed 2nd year budgets with > 3% escalation on recurring costs. NIH inserted this statement to clarify that 3% is all that's available.
 - ❖ National Institute on Deafness & Other Communication Disorders -Supplemental Funding Restrictions
 - No PI Salary
 - No Travel
 - ❖ PLEASE REVIEW YOUR NOTICE OF AWARD



ARRA Reporting Requirements

Katherine Stark
Award Analysis Supervisor



Reporting Requirements

- **First quarterly report due Saturday October 10th!**
 - ❖ SPA will prepare and submit all quarterly reports to FederalReporting.gov
 - ❖ Combined financial and progress report
 - ❖ Information needed from departments/PI for every reporting period
 - Percentage Completed
 - Quarterly Activities



Reporting Requirements

- **Project Status**
 - ❖ Drop down option of:
 - Not Started
 - Less than 50% completed
 - Completed 50% or more
 - Fully completed
 - ❖ Evaluation of completion status of project or activity, this should be based on performance progress reports and other relevant non-financial performance information



Reporting Requirements

- **Quarterly Activities/Project Description**
 - ❖ 2000 characters max
 - ❖ A description of overall purpose and expected outputs and outcomes or results of the award and first tier subawards. Including significant deliverables and, if appropriate, units of measure.



Reporting Requirements

- **Reporting Deadlines**
 - ❖ SPA will email PIs and departments for information approximately 10 days prior to the end of each quarter
 - ❖ **Prompt response is essential and appreciated**
 - ❖ OHSU is required to submit ARRA reports by the deadline



Reporting Requirements

- **Additional Reporting fields include:**
 - ❖ Funds awarded, drawn, expenditures
 - ❖ Number of jobs created/retained, description of jobs created
 - ❖ Number of payments to vendors over \$25K/ea
 - ❖ Total number of subawards under \$25K
 - ❖ Congressional district
 - ❖ Much of the same detail required for OHSU is also required for subawards over \$25K and vendor payments over \$25K



Outgoing Subawards

James Trotter
Director



Outgoing Subawards

- Please submit your Subaward Request form to RGC ASAP!
- ARRA terms, conditions and reporting requirements flow through
- SPA will collect data from subawardees for reporting



Tips for Post Award Management

James Trotter
Director



Tips for Post Award Management

- Cost Transfers
 - ❖ Be aware that transfers between the parent grant and the ST award are red flags to auditors!
- Review expenditure activity monthly
- Review effort and labor distribution at least quarterly for personnel paid on ST awards
- Plan your spending appropriately



Tips for Post Award Management

- Audit awareness
 - ❖ ARRA awards are being audited at a higher rate
 - 700-800 auditors hired to review ARRA awards
 - ARRA Fraud and Abuse hotline
 - ❖ National interest and attention
 - Federal Demonstration Partnership (FDP)
 - Council on Governmental Relations (COGR)



ARRA

Additional Questions?

Contact the Analyst Supervisors!

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Thank You

